



(19)

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 0 439 182 B1

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication and mention  
of the grant of the patent:  
24.04.1996 Bulletin 1996/17

(51) Int Cl.<sup>6</sup>: C12Q 1/68, C12P 19/34,  
C07H 21/04, C12Q 1/34

(21) Application number: 91100959.5

(22) Date of filing: 25.01.1991

(54) Improved method of amplifying target nucleic acids applicable to both polymerase and ligase chain reactions

Verbessertes Verfahren zur Amplifikation von Nuklein säurezielsequenz, einsetzbar für die Polymerase und Ligasekettenreaktion

Procédé amélioré pour amplifier d'acides nucléiques cibles applicable à la réaction en chaîne de polymérase et ligase

(84) Designated Contracting States:  
AT BE CH DE DK ES FR GB GR IT LI NL SE

(30) Priority: 26.01.1990 US 470674  
09.01.1991 US 634771

(43) Date of publication of application:  
31.07.1991 Bulletin 1991/31

(73) Proprietor: ABBOTT LABORATORIES  
Abbott Park, Illinois 60064-3500 (US)

(72) Inventors:  
• Backman, Keith C.  
Bedford, MA 01730 (US)  
• Carrino, John J.  
Gurnee, IL 60031 (US)  
• Bond, Sheila B.  
Lake Forest, IL 60045 (US)  
• Laffler, Thomas G.  
Libertyville, IL 60048 (US)

(74) Representative: Modiano, Guido, Dr.-Ing. et al  
Modiano & Associati S.r.l.  
Via Meravigli, 16  
I-20123 Milano (IT)

(56) References cited:  
EP-A- 0 201 184 EP-A- 0 246 864  
EP-A- 0 336 731 EP-A- 0 357 336  
WO-A-89/10415 WO-A-89/12696  
WO-A-90/01069 WO-A-90/12115  
US-A- 4 851 331

- PROCEEDINGS OF THE NATL. ACADEMY OF SCIENCES USA, vol. 86, November 1989; K.G.AU et al., pp. 8877-8881

Remarks:

The file contains technical information submitted after the application was filed and not included in this specification

EP 0 439 182 B1

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

**Description****BACKGROUND**

5 This invention relates to methods of amplifying target nucleic acids and, particularly, to methods of performing ligase or polymerase chain reaction amplifications wherein at least one of the probes or primers is reversibly modified at the reaction initiation site so that it is not a substrate for the enzyme catalyzed reaction. Exemplary modifications include chemical blockage of reactant groups, addition of one or more nucleic acid bases to form an "overhang", or absence of one or more nucleic acid bases to form a "recess". The modified end prevents or reduces target independent 10 spurious signal development and is later corrected in a target dependent manner to enable amplification.

10 In many cases, the feasibility of a nucleic acid based diagnostic assay is dependent on the ability to amplify the signal generated by only a few molecules of target. Although signal amplification is one potential solution, target amplification is often the preferred solution in nucleic acid based assays. Target amplification involves the repeated copying or duplication of sections of the nucleic acid designated as the target.

15 In the target amplification technique known as polymerase chain reaction (PCR) a pair of primers (one primary and one secondary) is employed in excess to hybridize at the outside ends of complementary strands of the target nucleic acid. The primers are each extended by a polymerase using the target nucleic acid as a template. The extension products become target sequences themselves, following dissociation from the original target strand. New primers are then hybridized and extended by a polymerase, and the cycle is repeated to increase geometrically the number of 20 target sequence molecules. PCR is described further in U.S. Patents 4,683,195 and 4,683,202.

25 An alternate mechanism for target amplification is known as ligase chain reaction (LCR). In LCR, two primary (first and second probes) and two secondary (third and fourth) probes are employed in excess. The first probe hybridizes to a first segment of the target strand and the second probe hybridizes to a second segment of the target strand, the first and second segments being contiguous so that the primary probes abut one another in 5' phosphate-3' hydroxyl relationship and so that a ligase can covalently fuse or ligate the two probes into a fused product. In addition, a third 30 (secondary) probe can hybridize to the first probe and a fourth (secondary) probe can hybridize to the second probe in a similar abutting fashion. Of course, if the target is initially double stranded, the secondary probes will also hybridize to the target complement in the first instance. Once the fused strand of primary probes is separated from the target strand, it will hybridize with the third and fourth probes which can be ligated to form a complementary, secondary fused product. In order to understand LCR and the improvements described herein, it is important to realize that the fused 35 products are functionally equivalent to either the target or its complement. By repeated cycles of hybridization and ligation, amplification of the target sequence is achieved. This technique is described more completely in EP-A-320 308, the entire disclosure of which is incorporated herein by reference.

One of the great strengths of amplification reactions is their ability to detect exceedingly small numbers of target 35 molecules. However, it is important that the amplification process be highly specific since the amplification of untargeted sequences along with signal could potentially impair the reliability of the amplification process. Both PCR and LCR are capable of generating and even amplifying non-specific or spurious background signal. Due to the different principles underlying PCR and LCR, sources of background signal are different for each, and will be discussed separately.

A potential problem associated with ligase chain reaction is background signal caused by target independent 40 ligation of the probes. Since the third probe hybridizes to the first probe and the fourth probe hybridizes to the second probe, the probes, which are added in excess, can easily form duplexes among themselves. These duplexes can become ligated independently of the presence of target to form a fused product which is then indistinguishable from the desired amplified target, yet which is still capable of supporting further amplification. Although target independent blunt-end ligation of these duplexes is a relatively rare event, it is sufficiently common to cause undesirably high background 45 signals in diagnostic assays.

A commonly recognized source of spurious background signal in PCR is the hybridization of a primer sequence to regions of the DNA molecule not intended to be amplified. Generally these hybridizations occur because the target sample contains, in addition to the target sequence itself, other sequences with some similarity to the target sequence. Although hybridization of primer to these similar sequences is not as probable as to the target sequence, 50 some hybridization can occur. When such unintended non-specific hybridization occurs, if the 3' terminal nucleotide of the primer molecule is successfully hybridized to a complementary nucleotide of the target molecule, it is possible that primer extension may be successfully initiated by the polymerase enzyme, leading to the generation of an oligonucleotide different than the target sequence. Under some circumstances, this nucleotide may even undergo exponential amplification. Whether amplified or not, the spurious nucleotide sequence may, under some analysis situations, be taken to 55 be indicative of target sequence and thus lead to erroneous results.

Of interest as background art to the present invention is EP-A-0 246 864 which discloses a method for discriminating a specific base sequence from a variant base sequence. Such method involves the linkage of two nucleic acid probes hybridized to a target nucleic acid and separated by a gap of at least one nucleotide.

WO 90/01069, which is prior art under the terms of Article 54(3) and (4) EPC, discloses a process for amplifying and detecting nucleic acid sequences comprising hybridizing oligonucleotide pairs being complementary to the target and being separated by a gap of one or more bases and filling the gap through the use of enzymes.

5 EP-0 357 336, which is prior art under the terms of Article 54(3) and (4) EPC, discloses a method for detection of specific nucleic acid sequences comprising hybridizing a first nucleotide sequence and a second nucleotide sequence to non-contiguous portions of a target nucleotide sequence, covalently attaching the first and second sequences and determining the presence of the covalently attached first and second sequences as an indication of the presence of the target sequence.

## 10 SUMMARY OF THE INVENTION

Although oligonucleotide probes and primers serve dramatically different roles in LCR and PCR, respectively, the terms "initiator" and "probe/primer" are used herein where general discussions can apply to both. It is a primary object of the present invention to improve the sensitivity of nucleic acid based assays by decreasing the occurrence of spurious signal generation. This object is met in the present invention by modifying at least one probe/primer end so that the probability of the probe/primer contributing to spurious signal development is greatly reduced. Only after specific hybridization of the modified probe/primer with the target, are the modified ends "corrected" in a target dependent fashion to allow participation of the probe/primer in the enzymatic amplification reaction.

20 One feature of the invention, useful in either LCR or PCR provides a nucleic acid probe/primer in which a chemical moiety blocks or masks a group which is obligatorily involved in the enzyme catalyzed step of the amplification reaction. This enzymatic step is ligation in LCR and extension or elongation in PCR. In either case, the probe/primer is capable of hybridizing with the target and initiates the enzymatic reaction (thus, the term "initiator") and the ligated or extended product is referred to as an "amplification product".) The blocking group is selected so that it can be removed by an enzyme substantially only when the probe/primers are hybridized to the target. In another aspect, a probe/primer is modified to contain an overhang of additional bases at one end. The bases are later cleaved in a target dependent fashion allowing the amplification reaction to occur.

According to another feature specific for LCR, the probes have recesses relative to the point of ligation which create a gap when hybridized to the target. The gap is then filled in a target dependent manner to render the probes ligatable. Gap filling can be accomplished by extension of one or more probes, or by the use of additional fifth and sixth probes followed by ligation.

30 Another object of the invention is to provide an improved method for distinguishing a first sequence from a second sequence, the first differing from the second by only a single base in the target region. The first sequence may be viewed as a target, and the second sequence may be viewed as a potentially cross reactive strand that can be distinguished.

35 Briefly, the invention relates to a method of amplifying a target nucleic acid sequence enzymatically to yield amplification products, wherein an enzyme utilizes: a nucleic acid initiator; the target sequence or amplification product to which it hybridizes as a template; and at least one additional nucleoside-containing reactant as building blocks to enzymatically assemble amplification products complementary to the target, the amplification products themselves serving as further templates; wherein the improvement comprises:

40 (a) providing requisite initiators capable of hybridizing with the target, wherein at least one of the initiators is modified such that, when the initiator is hybridized, the enzyme is substantially incapable of acting on the initiator as its substrate, so that amplification product is not assembled;  
 (b) hybridizing the initiator to the target, if present, to form an initiator-template complex;  
 45 (c) correcting the modification in a target dependent manner to allow the initiator-template complex to be acted upon by the enzyme;  
 (d) enzymatically assembling an amplification product; and  
 (e) dissociating the amplification product from the target and repeating the hybridization, correction and assembling steps to amplify the desired target sequence.

50 Thus, the term "initiator" is used to refer to either the primers used in PCR or one or more of the probes used in LCR. When used in the extension/elongation context, the nucleoside-containing reactant comprises nucleoside triphosphates or analogs thereof. When used in the ligation context, the initiator comprises only one probe of a pair of partner probes (ie one primary probe and/or one secondary probe), while the nucleoside-containing reactant comprises a second oligonucleotide probe (ie the other partner of the pair, which ultimately becomes ligated to the initiator.)

55 Correction of the modification depends on the modification made. However, to realize fully the benefits of the invention, the correction must be made substantially only when the modified initiator is hybridized to target or to amplification product which results from enzymatic assembly, either of which serves as proper template. Thus, correction

is "template dependent". The correcting and assembling reagents are described in more detail below.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- 5      Figure 1 is a graphic representation of the process of ligase chain reaction as it is known in the prior art.
- Figures 2 A and B are graphic representations of the overhang embodiment, wherein R represents a blocking moiety, and "rBrBrB" represents a ribonucleotide extension.
- Figure 3 is a graphic representation of the single gap variation of the second embodiment.
- Figure 4 is a graphic representation of a generalized, double gap variation of the second embodiment.
- 10     Figure 5 is a graphic representation of a third variation of the second embodiment utilizing additional probes to fill the gaps.

#### DETAILED DESCRIPTION

- 15     For purposes of this invention, the target sequence is described to be single stranded. However, this should be understood to include the case where the target is actually double stranded but is simply separated from its complement prior to hybridization with the probes/primers. In the case of double stranded target, secondary probe(s) (For LCR, the third and fourth probes, A' and B'; for PCR, the additional primer, B) will also participate in the initial step by hybridizing to the target complement. In the case of single stranded target, the secondary probes or primers will not participate in the initial hybridization step, but will participate in subsequent hybridization steps. Target sequences may comprise deoxyribonucleic acid (DNA) or ribonucleic acid (RNA).

Throughout this application, the "prime" (') designation is used to indicate a complementary base or sequence. A probe or primer is "complementary" to another sequence if it hybridizes to the sequence and has substantially complementary base pairs in the hybridized region. Thus, probe A can be complementary to A' even though it may have ends not coterminous with A'. The same is true of B and B'. Similarly, the short sequences X<sub>n</sub> and Y<sub>m</sub> have complementary sequences designated as X'<sub>n</sub> and Y'<sub>m</sub>, respectively. Finally, the complement of a single base, e.g. Q, is designated as Q'. As used herein with respect to sequences, "complementary" encompasses sequences that have mismatched base pairs in the hybridizable region, provided they can be made to hybridize under assay conditions.

It is also to be understood that the term "the 4 bases" shall refer to Guanine (G), Cytosine (C), Adenine (A) and Thymine (T) when the context is that of DNA; but shall refer to Guanine (G), Cytosine (C), Adenine (A) and Uracil (U) in the context of RNA. The term also includes analogs and derivatives of the bases named above. Although the degenerate base Inosine (I) may be employed with this invention, it is not preferred to use I within modified portions of the probes according to the invention.

#### 35     I. LCR

With regard to LCR, it is an important feature of the present invention that instead of using two pairs of probes capable of forming blunt-ended duplexes, at least one probe of one of the probe pairs initially includes a "modified" end which renders the resultant duplex "nonblunt" and/or not a suitable substrate for the ligase catalyzed fusion of the two probe duplexes. A "modified end" is defined with respect to the point of ligation rather than with respect to its complementary probe. A "modified end" has either (1) a blocking moiety (or additional base residues) on a group (e.g. the 5' phosphate or the 3' hydroxyl) which, under ordinary LCR conditions, obligatorily participates in the ligase catalyzed fusion (See e.g. probe A of Figures 2A and 2B); or (2) omitted bases to create a "gap" between one probe terminus and the next probe terminus (See e.g. probe B of figure 3; probes A' and B, of figure 4; and probes D and E of figure 5.)

By convention in this application, a modified end of the first type is referred to as an "overhang", the overhang being an additional blocking moiety or additional base residues which, when hybridized to the target sequence extends beyond the point of ligation. The term "overhang" is not to be confused with an extension of one probe with respect to its complementary probe, resulting from the fact that they need not be coterminous. A modified end of the second type is referred to herein as a "recess", the recess being the gap between two primary or secondary probes after hybridizing to the target. The presence of these modified ends reduces the falsely positive signal created by blunt-end ligation of complementary probe duplexes to one another in the absence of target.

"Correction" of the modification is subsequently carried out to render the probes ligatable. As used herein "correction" refers to the process of rendering, in a target dependent manner, the two primary probes or the two secondary probes ligatable to their partners. Thus, only those probes hybridized to target, target complement or polynucleotide sequences generated therefrom are "corrected." "Correction" can be accomplished by several procedures, depending on the type of modified end used.

As used herein, "point of ligation" or "intended point of ligation" refers to a specific location between two probe

partners that are to be ligated in a template-dependent manner. It is the site at which the "corrected" probe lies adjacent to its partner in 5' phosphate- 3' hydroxyl relationship. For each set of four LCR probes there are two "points of ligation", a point for the primary probe partners and a point for the secondary probe partners. In conventional LCR the two points of ligation are opposite one another, thus forming blunt ended duplexes when the probe pairs hybridize to one another.

5 In the present invention, the points of ligation may be opposite one another only in the "overhang" embodiments. They are displaced from one another in the "recess" embodiments by one or more bases by virtue of the gaps. The exact point(s) of ligation varies depending on the embodiment and, thus, this term is further defined in the context of each embodiment.

10 Each of the probes may comprise deoxyribonucleic acid (DNA) or ribonucleic acid (RNA). It is a routine matter to synthesize the desired probes using conventional nucleotide phosphoramidite chemistry and the instruments available from Applied Biosystems, Inc, (Foster City, CA); DuPont, (Wilmington, DE); or Milligen, (Bedford, MA). Phosphorylation of the 5' ends of the probes, while necessary for ligation by ligase, may be accomplished by a kinase, as is known in the art.

15 Throughout this application, the bases X, Y and Q, and their complements are described as being selected from certain subsets (N or M) of the 4 bases. In reality, the sequences are not "selected" at all, but are dictated by the sequence of the target strand. The term "selected" in this context is taken to mean that a target sequence having the desired characteristics is located and probes are constructed around an appropriate segment(s) of the target sequence.

20 In general, the methods of the invention comprise repeated steps of (a) hybridizing the modified probes to the target (and, if double stranded so that target complement is present, to the target complement); (b) correcting the modification in a target dependent manner to render the probes ligatable; (c) ligating the corrected probe to its partner to form a fused or ligated product; and (d) dissociating the fused product from the target and repeating the hybridization, correction and ligation steps to amplify the desired target sequence. Steps (a), (c) and (d) are essentially the same for all of the embodiments and can be discussed together. They are generally the same steps that one would employ in conventional LCR. Step (b) varies depending on the type of modification employed and each different type is discussed separately.

25 Hybridization of modified probes to target (and optionally to target complement) is adequately explained in the prior art; e.g EP-320 308. Probe length, probe concentration and stringency of conditions all affect the degree and rate at which hybridization will occur. Preferably, the probes are sufficiently long to provide the desired specificity; i.e, to avoid being hybridizable to random sequences in the sample. Typically, probes on the order of 15 to 100 bases serve this purpose. Presently preferred are probes having a length of from about 15 to about 40 bases.

30 The probes are added in approximately equimolar concentration since they are expected to react stoichiometrically. Each probe is present in a concentration ranging from about 5 nanomolar (nM) to about 90 nM; preferably from about 10 nM to about 30 nM. The optimum quantity of probe used for each reaction also varies depending on the number of cycles which must be performed. Optimum concentrations can readily be determined by one of ordinary skill in this art.

35 The stringency of conditions is generally known to those in the art to be dependant on temperature, solvent and other parameters. Perhaps the most easily controlled of these parameters is temperature and thus it is generally the stringency parameter varied in the performance of LCR. Since the stringency conditions required for practicing this invention are not unlike those of ordinary LCR, further detail is deemed unnecessary, the routine practitioner being guided by the examples which follow.

40 The next step in the general method follows the specific correction step and comprises the ligation of one probe to its adjacent partner. Thus, each primary -probe is ligated to its associated primary probe and Each secondary probe is ligated to its associated secondary probe. An "adjacent" probe is either one of two probes hybridizable with the target in a contiguous orientation, one of which lies with its phosphorylated 5' end in abutment with the 3' hydroxyl end of the partner probe. "Adjacent" probes are created upon correction of the modified end(s) in a target dependent manner.

45 Since enzymatic ligation is the preferred method of covalently attaching two adjacent probes, the term "ligation" will be used throughout the application. However, "ligation" is a general term and is to be understood to include any method of covalently attaching two probes. One alternative to enzymatic ligation is photo-ligation as described in EP-A-324 616.

50 The conditions and reagents which make possible the preferred enzymatic ligation step are generally known to those of ordinary skill in the art and are disclosed in the references mentioned in background. Ligating reagents useful in the present invention include prokaryotic ligases such as *E coli* ligase, T4 ligase and *Thermus thermophilus* ligase (e.g., ATCC 27634) as taught in EP-320 308. This latter ligase is presently preferred for its ability to maintain activity during the thermal cycling of LCR. Absent a thermally stable ligase, the ligase must be added again each time the cycle is repeated. Also useful are eukaryotic genes, including DNA ligase of *Drosophila*, reported by Rabin, et al., *J. Biol. Chem.* 261:10637-10647 (1986).

55 Once ligated, the fused probe is dissociated (e.g. melted) from the target and, as with conventional LCR, the process is repeated for several cycles. The number of repeat cycles may vary from 1 to about 100, although from about 15 to about 70 are preferred presently.

It is desirable to design probes so that when hybridized to their complementary (secondary) probes, the ends away

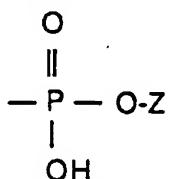
from the point of intended ligation are not free themselves to participate in other unwanted ligation reactions. Thus, ligatable sticky or blunt ends should be avoided. If such ends must be used, then free 5' terminal phosphates should be avoided or eliminated. This can be accomplished either through synthesizing oligonucleotide probes (which normally carry no 5' terminal phosphate groups), or through the use of phosphatase enzymes to remove terminal phosphates (e.g. from oligonucleotides generated through restriction digests of DNA). Alternatively, ligation of the "wrong" outside ends of the probes can be prevented by blocking the end of at least one of the probes with a "hook" or marker moiety as will be described in detail below.

Following amplification, the amplified sequences can be detected by a number of conventional ways known in the art. In a particularly preferred way, hooks are attached at the available outside ends of at least two probes (opposite ends of fused product), and preferably to the outside ends of all four probes. A "hook" is any moiety having a specific ligand-receptor affinity. Typically, the hook(s) at one end of the fused product (e.g. the 5' end of A and the 3' end of A') comprises an antigen or haptens capable of being immobilized by a reagent (such as antibody or avidin) coated onto a solid phase. The hook(s) at the other end (e.g. the 3' end of B and the 5' end of B') contains a different antigen or haptens capable of being recognized by a label or a label system such as an antibody-enzyme conjugate. Exemplary hooks include biotin, fluorescein and digoxin among many others known in the art. A substrate is then added which is converted by the enzyme to a detectable product. EP-A-330 221 to Enzo describes oligonucleotides having a biotin molecule attached at one end.

#### A. OVERHANGING MODIFIED ENDS

As mentioned, a first embodiment involves a modified end wherein a blocking moiety or additional bases are added to at least one probe beyond the point of intended ligation. The blocking moiety or the additional bases comprise the "overhang" and are the reason blunt-end ligation is not possible. In a first variation, the overhang comprises a chemical blocking agent, R.

It is well known that the standard DNA ligase reaction requires that the substrate strands present a 3' hydroxyl and a 5' phosphate at the point of ligation. Several modifications, particularly at the 3' hydroxyl group, are known to introduce an R group which will render the modified end incapable of participating in a ligase reaction, but which can be removed when the modified strand is part of a double stranded structure. Such modifications include the following illustrative R groups attached to the 3' hydroxyl oxygen in place of the hydrogen atom:



wherein Z is selected from the group consisting of  $-\text{H};-(\text{CH}_2)_n\text{CHO}$ , where n is from 1 to about 3, preferably 1 or 2; deoxyribose; and -dideoxyribose.

The synthesis of probes having ends suitably modified with an R group is well known in the art. For example, chemical synthesis of oligonucleotides containing a 3' phosphate group has been described by Markiewicz and Wyrykiewicz, *Nucl. Acids Res.* 17:7149-7158 (1989). Larger blocking groups, which may have the advantage of hiding the 3' phosphate from non-specific phosphatases that might be present in some samples, are conveniently prepared by creating the oligonucleotide probe with terminal transferase and dUTP or ddUTP, followed by treatment with uracil glycosylase. Purification of uracil glycosylase is taught by Lindahl, et al, *J.B.C.* 252: 3286-3924 (1977). In the case of dUTP addition, treatment with strong base following the uracil glycosylase treatment can be used to prepare the glycoaldehyde derivative. It is to be understood that the examples of R groups given above are illustrative only, and that one of ordinary skill could synthesize many variants which would work equally well.

The enzyme endonuclease IV (Siwek, et al, *Nucl. Acids Res.* 16:5031-5038 (1988)) will remove such blocking groups and expose a 3' hydroxyl group if and substantially only if the strand containing the blocking group is hybridized to a complementary strand. Although target independent correction of the modified ends due to activity of endonuclease IV on the AA' or BB' duplexes is rare, if necessary, it can be further minimized by designing the probes complementary to those with modified ends, such that they are recessed by one or more nucleotides.

In another variation of overhanging ends, the overhang consists of additional nucleic acid bases which can be cleaved off once the probes are hybridized to target. The overhang prevents ligation by virtue of its bulk at the intended point of ligation, and stereochemically blocks or masks the group(s) which obligatory participate in the ligase reaction (as described for blocked ends). What distinguishes this from the simple chemical blockage described above is the nature and size of the "blocking" group (i.e., the overhang). It is by nature composed of nucleic acid residues colinear with the probe molecule. However, the size of the group is too large to permit the modified end of the molecule to

remain in the vicinity of the ligation point when hybridized to a target. Moreover, since the overhang is to be removed, it may be designed so that it cannot participate in a ligase catalyzed reaction at all (e.g., by lacking a 5' phosphate group).

Three classes of overhangs are described, though the selection is meant to be illustrative only. Those skilled in the art will appreciate that other enzymes capable of similarly removing overhangs may be used in completely analogous ways. Exemplary cases are:

1. an overhang consisting essentially of ribonucleotides (when the target consists of DNA);
2. an overhang containing an abasic site; and
3. an overhang containing a base which results in a mismatched base pair with a base in the target.

In general, however, the overhang must be complementary to the target so that its removal can be template dependent as is described below. The overhang may be from 1-10 bases, preferably from 1-5 bases in length.

**1. Ribonucleotide modifications.** Synthesis of DNA oligonucleotides containing ribonucleotides has been described by Atabekov, et al, *FEBS Lett* 232:96-98 (1988). DNA probes having modified ends consisting of ribonucleotide residue extensions can be used in this invention. The ribonucleotides fail to present a proper substrate for ligase and the modified probes will not be ligated or amplified. In principle these extensions may be either at the 5' or 3' end of a selected probe.

"Correction" is by removal of ribonucleotides. Enzymes generally called ribonuclease H are known to be widely distributed in nature. One such ribonuclease H is available from Sigma Chemicals (Cat# R6501). Such enzymes selectively remove ribonucleotides from nucleic acid strands in which they are present if and substantially only if that strand is hybridized to a strand of DNA. Although there is some debate as to whether a particular ribonuclease (RNase) removes all or only all but one ribonucleotide from a strand, that need not concern us in general, since at least some ligases (e.g. T4 ligase) are known to be capable of ligating ribonucleotides to deoxyribonucleotides. For any particular RNase H, it is simple enough to determine for purposes of LCR whether it leaves zero or one ribonucleotide residue. One merely makes two ribomodified LCR probe sets, designed on the basis that the enzyme removes either all or all but one of the ribonucleotides, and determines the performance of the LCR reaction in each case. Once established, the enzyme behavior can thereafter be taken as a given. In practice, different RNase H species may be more or less facile at correcting a 3' or a 5' modification. This is readily determined empirically for any given RNase H species.

Such ribonucleotide modified probes are used in an LCR reaction. When two probe partners hybridize to adjacent regions on the target, they cannot be joined by ligase unless and until RNase H "corrects", -- i.e. removes the ribonucleotides from -- the modified probe. In the case where the ribonucleotides are at the 5' end of the probe, an internal phosphate group is exposed to serve as a substrate in the ligase reaction. This obviates the need for a special step in the manufacture of the probe to add such a 5' phosphate.

Upon ligation, the fused probes function as a new target, just as in standard LCR.

**2. Abasic site cleavage.** A variety of widely distributed enzymes (e.g., endonuclease IV; Siwek, et. al., *supra*) cleave a single strand of DNA at the location of an abasic site substantially only when the DNA strand is in duplex form hybridized to its complement. The synthesis of oligonucleotides with abasic sites has been described in the art by Takeshita, et al, *J.B.C.* 262:10171-10179 (1987). Modified oligonucleotide probes can be synthesized so as to position an abasic site immediately 5' to the point of ligation on the probe intended to donate its 5' end, or immediately 3' to the point of ligation on the probe intended to donate its 3' end. In either case, the complementary probe should be designed so that when hybridized together the two probes would not allow target independent cleavage at the abasic site by the enzyme to be used. The probe set could be designed with short offsets of the two points of ligation so that no double stranded structure would occur in the immediate vicinity of the abasic site except when the probe was hybridized to true target. In this way, correction is target dependent.

In the case of hybridization to target, cleavage at the abasic site would expose an end capable (by both its position and its chemical nature) of being joined by ligase to an adjacent probe. Upon ligation, the fused molecule functions as a new target, as in standard LCR.

**3. Mismatch Repair.** Perhaps the easiest of all "modified" oligonucleotides are those which contain no unusual features whatsoever outside of the context of the hybridized state. Such oligonucleotides can, be readily prepared by standard synthetic techniques using standard commercial reagents. When hybridized to a target, however, the unique modification of such probes manifests itself: a mismatched base pair is present in the duplex. There are many biological systems known which can correct or repair such mismatched base pairs. Although any such system may in principle be adapted to correcting the modification in a LCR probe set, we will discuss one system in further depth as an illustration of a general approach.

An enzyme (or complex of enzymes) has been reported by Karin, et al, *Proc. Natl. Acad. Sci. USA* 86:8877-88810 (1989), which can specifically recognize mismatches of A opposite G. In such "A/G mismatches", the enzyme cleaves the strand containing the A between the A and the next residue in the chain. Thus, probes can be designed in which the overhang is separated from the remainder of the probe by an A residue, and that A residue occurs in, a position

that falls opposite a G residue when the probe is hybridized to a true target. Upon hybridization to a true target, the overhang is cleaved from the remainder of the probe, including the mismatched A residue, exposing an end which, by virtue of its position and chemical nature, is capable of being joined by ligase to another probe positioned adjacently. Upon ligation, the resulting fused molecule can serve as a target in subsequent rounds of LCR.

As a further feature, such schemes can be used to determine the identity of a specific base locus which may exhibit allelism. In the specific AG mismatch example, for instance, about five sixths of such changes can be directly assayed. The initial identity of a specific locus must either be A, T, G, or C. If it is G, then a mutant is other than G; similarly if it is C, its complement is G and the complement of the mutant is not G. If, it is A or T, then two thirds of such mutants are either G or C. Thus one half of single base mutant alleles result in the loss of a specific G, and two thirds of the remainder result in the appearance of a specific G residue. In either case, a strategically placed A residue in one of the members of an LCR probe set can strongly influence the rate of appearance of fused probe molecules. In the case of the loss of a G residue, the A containing probe will fail to be cleaved and the progress of the LCR reaction will be severely impaired. In the case of the appearance of a new G, cleavage of an A containing probe is enabled and the rate of LCR reaction is greatly enhanced. Those skilled in the art will readily appreciate that other mismatch repair systems with differing specificities might readily be adapted to identify other single base changes at specific loci in a manner analogous to the described AG mismatch repair system.

#### **B. ENDS MODIFIED BY RECESSES**

In a second embodiment, modified ends are created by eliminating from one or more of the probes a short sequence of bases, thereby leaving a recess or gap between the 5' end of one probe and the 3' end of the other probe when they are both hybridized to the target (or target complement, or polynucleotide generated therefrom). In order for LCR to amplify the target, the gaps between the probes must be filled in (i.e., the modification must be "corrected"). In a first version, this can be done using a polymerase or a reverse transcriptase and an excess of deoxynucleotide triphosphates which are complementary to the target strand opposite the gap. Alternatively, this can be done by supplying a fifth probe complementary to the target and a sixth probe complementary to the fifth probe. These alternative versions are separately described below.

However, prior to discussing this embodiment in detail, a brief digression on set terminology may be helpful. A set (e.g., S) consists of all the elements contained within the set S. The set "not S" then consists of all the remaining elements of the "Universe" which are not found in S. The "Universe" for purposes of this application consists of the four bases G, C, A and T, or G, C, A and U as described above. The intersection of set S and another set (e.g., R) consists only of those elements which are found in both S and R. Thus, as used in this application, the set "not N and not M" consists of those bases which are present in *neither* the gap  $X_n$  nor the gap  $Y_m$ . According to this invention, the set "not N and not M" must not be an empty set; i.e. at least one base must remain in this set to code for the "stopbase".

##### **1. Gap Filling by Extension:**

In accordance with this first version, the invention involves repeated steps of (a) hybridizing the probes to the target (and, if double stranded so that target complement is present, to the target complement); (b) extending at least one probe to fill in at least one gap, designated  $X_n$ ; (c) ligating the extended probe to the adjacent probe to form a fused or ligated product; and (d) dissociating the fused product from the target and repeating the hybridization, extension and ligation steps to amplify the desired target sequence.

In this version, the "gaps"  $X_n$  which impart the "modified ends" are "corrected" by extending one or more of the probes using a polymerase or a reverse transcriptase. Generally, extension of a probe hybridized to a DNA target is accomplished by a DNA polymerase or a Klenow fragment as is known in the art. In the case of an RNA target, extension is accomplished by a reverse transcriptase. Exemplary reverse transcriptases include those from avian myeloblastosis virus (AMV) and Moloney murine leukemia virus (M-MuLV) generally available to those skilled in the art. It is, of course, preferable to utilize extension reagents, such as *T<sub>A</sub>q* polymerase, which are thermally stable and can withstand the cycling of high temperatures required for LCR. If the extension reagent is not thermally stable, it typically must be re-added at each cycle of LCR.

Correction by extension in this manner requires the presence in the reaction mixture of deoxynucleotide triphosphates (dNTP's) complementary to the bases of the target in the gap region(s). More specifically, for a gap having the sequence  $X_n$ , the dNTP's that must be supplied are designated dX'TP wherein X' stands for the complements of each base in the gap  $X_n$ . The dNTP's are commercially available from a number of sources, including Pharmacia (Piscataway, NJ) and Bethesda Research Laboratories (Gaithersburg, MD).

Extension must be terminated precisely at the point of ligation so that the extended probe abuts the adjacent probe and can be ligated to it. "Stopbases" are employed for this purpose, (See figures 3 and 4). A "stopbase", designated Q', is defined in terms of its complement, Q and is accomplished by omitting from the reaction mixture, dNTP's that

are complementary to Q; i.e. by omitting dQ'TP from the reaction mixture. Thus it is seen how the bases for the gap sequence(s) must be selected from a set, N, consisting of only three of the four bases, so that the complementary three of the four dNTP's are added to the reaction mixture. When the fourth dNTP, dQ'TP, is absent from the reaction mixture extension will terminate at the desired point of ligation. It follows that Q' is the first base in the adjacent probe, and the base on the target which codes for the stopbase is the first base adjacent the gap (on its 5' end in Figure 3). It should be noted that the stopbase Q' itself (not the complement Q) must occur adjacent the 3' end of the  $X_n$  gap. This is because a Q' stopbase must also be present to prevent undesired 3' end extension of probe B' (see Figure 3).

5 Since the concept is easiest to grasp in its simpler special case, the single gap method is described first. It should be understood, however, that the single gap variation is merely a special case of the double gap variation discussed later. Figure 3 depicts an embodiment referred to as single gap since only one of the probes (namely B) has a gap at its 5' end. A first probe, A, hybridizes to a first segment of the target strand, T. A second probe, B, hybridizes to a second segment of the target strand, leaving a gap of one or more bases between the 5' end of the first segment and the 3' end of the second segment. This gap is designated  $X_n$ . A third probe, A', is hybridizable to the first probe A; and a fourth probe B' is hybridizable to the second probe B. As shown in Figure 3, the target strand T can be double stranded, having a target complement, T'. In this case, probes A' and B' will participate in the initial hybridization by hybridizing to first and second segments of the target complement.

10 Extension by polymerase or transcriptase proceeds in a 5' to 3' direction. Consequently, the 3' ends of both A and B' would be extendable by polymerase in the absence of anything to prevent extension. If probe A' is hybridized in place on the target complement it sterically prevents extension of B'. If, however, A' is not hybridized to the target complement, extension still is terminated if the next base called for in the extension of B' (here Q') is absent from the reaction mixture. Conversely, Probe A is extended until either probe B or stopbase complement (Q) is encountered along the target strand. Since A' will not serve as a template for extension of A, probe A is extended only if hybridized to the target.

15 As alluded to above, it is important to terminate the extension of A at the end of the gap (i.e., at the point of ligation) so that the extended probe can be ligated to the 5' end of the adjacent probe, B. Therefore, the reaction mixture omits deoxynucleotide triphosphates complementary to the base immediately adjacent the 5' end of the gap  $X_n$ . Accordingly,  $X_n$  can be any number of bases long, i.e., n can be any integer greater than or equal to 1. The only restriction on the bases X is that they be selected from a set N which consists of from 1 to any 3 of the four bases. At least one base must be reserved to code for the stop base Q'. It should be understood, that when fewer than 3 bases are used in the  $X_n$  sequence, any of the remaining bases can serve as the stop base. Thus, Q is selected from the set "not N" where "not N" consists of the four bases (the Universe) less any elements contained in set N.

20 It should now be apparent that the points of ligation in this embodiment are always the 5' ends of probes A' and B. It is not by mere coincidence that these are also the locations of the stopbases Q'.

25 Although more detailed examples are provided at the end of the specification, a general example will flow be described. Suppose that in Figure 3, the gap  $X_n$  represents the sequence GA. The bases are thus selected from a set N (N={G,A}) which consists of two of the four bases. The dNTP's that must be added during probe extension are dCTP and dTTP. The stop base, Q', can be either G or A in this example and its complement, Q, must be either C or T. Accordingly, it is seen how the requirement that Q be selected from the set "not N" is fulfilled.

30 In the presence of a suitable polymerase, probe A is extended by the addition of C and T to its 3' end using the target strand as a template. However, when the polymerase encounters the C or T in the Q position on the template, it is unable further to extend probe A since neither G nor A are supplied to the reaction mixture as dNTP's. Extension terminates precisely at the point of ligation with the extended 3' end of probe A abutting the 5' end of probe B.

35 Next, a ligase is employed to join the 3' hydroxyl end of extended probe A to the 5' phosphate end of probe B to form a double stranded complex of fused or ligated primary probe and target strand. If the target is double stranded and has a complement T', the ligase will also join probes A' and B' in the initial cycle if they are hybridized to the target complement. If they are hybridized to excess probes A and B rather than target complement, ligation is inhibited since the ends are neither blunt nor sticky and there is no substrate for ligation.

40 Subsequently, the double stranded complexes are dissociated and new probes A, A', B and B' are permitted to hybridize to the target, the target complement, and both of the fused polynucleotides from the first cycle. Extension and ligation occur as before and the process can be repeated.

45 Exemplary combinations of bases for  $X_n$ , Q and Q' are given in Table I. It will be understood that the invention is not limited to these particulars combinations but these have been chosen as illustrative of many possible combinations. Table I also demonstrates the requirement that Q be selected from the bases represented by the set not N. This means that the stop base Q' must have as its complement, a base that fails to occur in the  $X_n$  sequence.

TABLE I  
 ILLUSTRATIVE GAP SEQUENCES, REQUIRED  
 dNTPs, and POSSIBLE COMBINATIONS FOR Q and Q'  
 IN SINGLE GAP VARIATION

	<u>X<sub>n</sub>/N</u>	<u>X'TPs</u>	<u>not N*</u>	<u>STOPBASE</u>
				<u>Q'</u>
	A	T	T, C, G	A, C, G
15	GT	C, A	C, A	G, T
	GC	G, C	A, T	A, T
	AA	T	T, G, C	A, C, G
	G CA	C, G, T	T	A
20	G CAG	C, G, T	T	A
	AAATT	T, A	G, C	C, G
	GCAGCA	C, G, T	T	A
25	GACT	all	VOID since no STOPBASE	

\*The set not N provides the possible complements (Q) for the stopbase Q'. The actual stopbase (Q') possibilities are given in the next column.

As previously mentioned, the single gap variation is a specialized case of the more generalized double gap variation wherein m=0. The double gap variation also employs four probes, A, A', B and B'. In this variation, as in the previous variation, the probe B' is shortened at its 5' end creating a gap of X<sub>n</sub> bases between the first and second segments of the target to which the primary probes A and B hybridize. The bases of gap X<sub>n</sub> are subject to the same limitations as in the single gap variation.

In addition, third probe A' is also shortened at its 5' end (see figure 4) with respect to both the target complement and the first probe A to create a second gap of Y<sub>m</sub> bases between the secondary (third and fourth) probes. Gap Y<sub>m</sub> can be any number of bases long and need not be the same length as X<sub>n</sub>, i.e., m need not equal n. Indeed, m may equal zero, in which case the double gap variation degenerates into the specialized case of the single gap.

In a preferred method of the invention, the fourth probe B' includes a 3' terminal sequence of X<sub>n</sub>, identical to the X<sub>n</sub> sequence gap in the target. This arrangement is not essential to the invention, however, as the gap need only be formed between the probes. Thus, the 3' terminus of the fourth probe B' may stop short of the 3' end of sequence X<sub>n</sub>, provided there is no 3' recessed end with respect to the second probe B. Since extension occurs in a 5' to 3' direction and dX'TPs must be supplied, probe B' would be extended through the gap, (both X<sub>n</sub> and Y<sub>m</sub>) just as the first probe A is extended through the X<sub>n</sub> gap.

The method of the invention employing the double gap embodiment is very similar to that employed with the single gap embodiment. The steps of hybridization, extension and ligation remain essentially the same. Under conditions promoting extension, both the A and B' probes are extended from their 3' ends to fill the gaps X<sub>n</sub> and Y<sub>m</sub>, respectively. Stopbases Q' terminate extension of both probes at the point of ligation and the probes are ligated to their now-adjacent associated probes.

There are, however, some restrictions on the base residues that can comprise the Y<sub>m</sub> sequence. Since at least one stopbase Q' must be maintained, the combined sets N and M which represent the possible bases for X and Y, respectively, must include no more than three of the four bases. Accordingly, Y can be from zero to any three of the four bases provided that at least one base remains in the set "not N and not M". If set N constitutes less than three of the four bases, then Y can be a base that is not within N so long as there is at least one base remaining, the complement

of which can serve as the stopbase Q' for termination of probe extension. A single stopbase can serve to terminate extension in both the X<sub>n</sub> and Y<sub>m</sub> gaps.

A second limitation on sequence Y<sub>m</sub> occurs if m equals n. If the gaps are the same length, the sequence Y<sub>m</sub> should not be complementary to the sequence X<sub>n</sub> or the 3' ends of probes A and B' would constitute "sticky ends". "Sticky ends" would permit a target independent double stranded complex to form wherein probe A hybridizes to probe B' such that ligations and amplification would proceed. Rather, when m equals n it is preferred that Y<sub>m</sub> not be complementary to X<sub>n</sub>. In other words, the ends of probes A and B' should at least be "slippery ends" which may be the same length, but are not complementary.

Nevertheless, even if target independent ligation and amplification occur, such as with sticky ends (or less likely with slippery ends), these fusion products are distinguishable from amplified target. In the case where m equals n, there is a small but finite chance that double stranded complexes of A:A' will ligate to double stranded complexes of B:B' independently of target. These complexes will be shorter than the desired target sequence by m (or n) bases and can be distinguished on the basis of length. In addition, if the ends are "slippery", the base mismatch can be detected by a number of reagents that are capable of detecting and/or destroying base mismatches. For example, base mismatches may be determined chemically by the hydroxylamine-osmium tetroxide technique disclosed in Cotton, et al, *Proc. Natl. Acad. Sci.* 85:4397-4401 (1988); and may be determined enzymatically by reagents such as S1 nuclease and mung bean nuclease.

Some exemplary combinations of X's and Y's, their dNTP counterparts and the resultant possibilities for Q and Q' are given in Table II.

20

**TABLE II**  
ILLUSTRATIVE GAP SEQUENCES, REQUIRED  
dNTPs, and POSSIBLE COMBINATIONS FOR Q and Q'  
IN DOUBLE GAP VARIATION

<u>X<sub>n</sub>/N</u>	<u>Y<sub>m</sub>/M</u>	<u>X'TPs</u>	<u>Y'TPs</u>	<u>not N and</u> <u>not M*</u>	<u>STOPBASE</u> <u>Q'</u>
A	A	T	T	T, C, G	A, C, G
G	T	C	A	C, A	G, T
AT	AT	T, A	T,A	C, G	C, G
AC	GA	T, G	C,T	T	A
ATG	AAA	T, A, C	T	C	G
GGCC	AAACG	C, G	T,G,C	T	A
ATTGA	AGGT	T, A, C	T,C,A	C	G
CGC	GCG	complement.			
		not			
		permitted			

50

\*The set not N and not M provides the possible complements (Q) for the stopbase Q'. The actual stopbase (Q') possibilities are given in the next column.

55

The length of gaps X<sub>n</sub> and Y<sub>m</sub> may be zero (Y<sub>m</sub> only), one or any integer greater than one. For example, gaps of from 1 to 20 bases would be possible. Practically, however, gaps of much shorter length are preferred; for example from 1 to 3 or 5 bases.

For example, the oligonucleotide TATTCATCCGTGCTTACAAC (or SEQ ID No:33) (herein oligo E) could replace

the HPV 16 specific oligonucleotide A' of Table III in the LCR set (A, A', B, and B') used for amplification of HPV 16 sequences described in Example 6. When hybridized to the appropriate single strand HPV 16 target sequence, oligonucleotides B and E would be separated by a 3 nucleotide gap. Amplification reaction conditions would be identical to those described except that 2'-deoxycytidine 5'-triphosphate would need to be included in addition to dATP to completely 5 fill the 3 nucleotide gap. Gaps of different sizes can also be examined in a similar manner in both the single and double gap format.

Most preferred at present are gaps of only a single base. It has been found that gaps of a single base greatly increase the ratio of true signal to background and leave the largest number of options for stopbases and dXTP's. Since probes are actually designed around existing targets, rather than "selecting" stopbases, a single base gap is 10 more useful in most cases.

#### Further Features

In a variation of either "recessed" embodiment, the deoxynucleotide triphosphates used in filling the gaps may be 15 modified to contain a marker moiety. Exemplary markers include direct labels such as radioisotopes, or hooks such as biotin, digoxin or other haptens capable of being recognized by either a solid phase or a label producing system. Isotopic labels include  $^{32}\text{P}$ , and deuterium among others.

Incorporation of the marker into the dNTP's is generally a matter of conventional organic chemistry. Linkers or 20 spacers may be used but are not essential. It is only important that the modified dNTP be able to be incorporated into the gap opposite its complement on the target strand and be covalently bonded to the adjacent base.

Furthermore, either of the embodiments can be used to distinguish a first (or target) sequence from a second (or nontarget) sequence differing by a single base, Z, if the base difference is in either of two locations in the second strand. In both cases, a gap having only one base (constituency, not length) is used to distinguish single base differences. 25

First, a differing base, Z, occurring in the gap region of the nontarget can be distinguished by omitting dZ'TP from the reaction mixture. Accordingly, it may be said that Z must also belong to the set not N and not M. The differing strand will not be extended by polymerase since the appropriate nucleotide triphosphates are not supplied. It can be seen that the maximum ability to distinguish a different base Z occurs when a single gap is only one base long, leaving the largest possible set not N and not M.

Secondly, if the differing base Z is present in the position of Q, the strands can be distinguished because extension 30 will not be properly terminated and the resulting extended product will be too long to ligate to its associated probe along the target strand. In this variation, maximum ability to distinguish occurs when there is only one possibility for the stopbase, all the others permitting a product that is too long.

The double gap embodiment is similarly useful for distinguishing a sequence having a single base difference at 35 one or the other of the gaps. It can also be used to distinguish a sequence having a differing base in the stop base or Q' position.

#### **2. Gap Filling by Additional Probes**

According to this version of the recessed embodiment, the invention involves repeated steps of (a) hybridizing the 40 modified probes to the target (and, if double stranded so that target complement is present, to the target complement); (b) providing fifth and sixth gap-filling probes to fill the gaps between primary and secondary probes; (c) ligating both ends of the gap-filling probes to the adjacent probes to form a fused or ligated product; and (d) dissociating the fused product from the target and repeating the hybridization, gap-filling and ligation steps to amplify the desired target sequence. In this embodiment probes D, D', E, and E' are used in place of the four probes A, A', B and B' (See figure 45 5). Fifth and sixth "gap-filling" probes F and F' are used in place of polymerase and dNTP's to fill in the recesses or gaps between the other probes.

Three pairs of probes are employed in this embodiment. First and second probes D and D', respectively will hybridize to one another, as will third and fourth probes E and E', respectively. (Note that the probe nomenclature in this 50 embodiment differs from that used in the previous embodiment; i.e. here the second probe is the complement of the first, rather than its associated partner. Thus, the first and third probes become the primary probes.) When the primary probes D and E are hybridized to the target strand, there is a gap of at least one base, preferably several bases, between the 3' hydroxyl end of D and the 5' phosphate end of E. Similarly, when probes D' and E' are hybridized to the target complement T', there is a gap of one to several bases between them as well, although the gaps are not aligned. Thus, probes D and E, and probes D' and E' are modified to be non-adjacent as well as not blunt-ended (when 55 duplexed with its complement). The gaps in this version are "corrected" by supplying fifth and sixth probes F and F', respectively which hybridize to the target and target complement (and to one another) between both primary and both secondary probes to fill in the gaps.

As seen in Figure 5, it is preferred that one of the gap-filling probes be shorter on both ends than the other gap-filling probe. In other words, both secondary probes D' and E' extend past both of the respective primary probes or, alternatively, both primary probes extend past their respective secondary probes.

As an alternative configuration, illustrative probes which can be used are listed below and are specific for map positions 5670-5743 on the HPV 16 genome.

D	FI-TACCTGCCTCCTGTACCTGTATCTA (or SEQ ID NO:51)
D'	AGATACAGGTACAGGAGGCAGGTA-FI (or SEQ ID NO:52)
F	AAGTTGTAAGCACGGATGAATATG (or SEQ ID NO:53)
F'	ATATTCATCCGTGCTTACAACCTT (or SEQ ID NO:54)
E	TTGCACGCACAAACATATATTATCA-Bio (or SEQ ID NO:55)
E'	Bio-TGATAATATATGTTGTGCGTGCAAC (or SEQ ID NO:56)

LCR would be performed for various cycle numbers using incubation times and reaction conditions identical to those described in Example 6 except that dATP and DNA polymerase would no longer be required. It should be noted that oligonucleotides of different lengths (e.g. 17-35 nucleotides) could also be used. All oligonucleotides would be present in the reaction at  $5.0\text{--}7.5 \times 10^{11}$  each. Following amplification, reaction products would be diluted and detected as described in the examples.

In such alternative configuration, one primary probe (D) may extend past its secondary complement(D'), while the other primary probe (E) stops short of its complement(E'). In this alternative configuration, however, it is important that the modified end not be "sticky" with respect to the "wrong" probes. For example, the 3' end of D which extends beyond D' should be complementary only with the 3' end of F' which extends beyond F. It should not be complementary to the 3' end of F which extends beyond F' or with the 3' end of E' which extends beyond E. If this precaution is not taken, the probe duplexes may reorganize in the absence of true target to produce high background signal.

The probes according to this embodiment can be manufactured in the same way as probes for the previous embodiment. Typically, probes will also be of comparable lengths as in the prior embodiment. Finally, reaction conditions which are useful with the above embodiment are also useful with this embodiment, with the exception that extension and polymerase are, unnecessary in this embodiment.

Once the gaps between the primary and secondary probes have been filled with the gap-filling probes, the gap-filling probes are ligated at both ends to the respective secondary or primary probes to form continuous polynucleotide strands. The primary probes and the first gap-filling probe F form a primary polynucleotide strand complementary to the target strand, while the secondary probes and the second gap-filling probe F' form a secondary polynucleotide strand complementary to the target complement strand. Of course, the primary polynucleotide and the secondary polynucleotide are also complementary to one another. Consequently, repeated cycles of hybridization and ligation produce an amplification of the target sequence just as in conventional LCR. In contrast, however, falsely positive signal arising from blunt-end ligation is significantly reduced by this embodiment since double stranded complexes D and D' cannot blunt-end ligate with double stranded complexes E and E'. This is due to the one or more bases omitted from both of the secondary probes or, alternatively, both of the primary probes.

It is understood, of course, that other products will be produced besides the desired polynucleotides. For example, it would be expected that shorter segments ("dimers") will form comprising D:F and D':F' or F:E and F':E'. It is further understood that these side or incomplete products will tend to utilize desired reagents. However, by adding excess reagents and by cleanly separating the desired polynucleotides from the incomplete products, the embodiment is useful, though not preferred at present.

Separation and detection of the ligated probes according to this version can be accomplished in any manner known in the art. A preferred method of detection employs markers attached to the primary or secondary probes. Preferably a hook capable of being captured by a solid phase is attached to the 5' end of probe D, while a label or a hook capable of capturing label is attached to the 5' end of probe E'. The desired polynucleotide strand is then detectable by catching the first hook with the solid phase, separating the solid phase from the solution and detecting the label associated with the solid phase. Incomplete products formed during the reaction will be incapable of solid phase capture, or of label detection, or both. Thus, upon separation and detection, little or no signal is generated in the absence of target since blunt-end ligation cannot connect a capture hook with a label hook.

**II. PCR**

The principles of PCR have been fully described in the literature (see eg. U.S. Patents 4, 683,195 and 4,683,202); little additional detail is needed here. Fundamentally, a primer is hybridized to target and a polymerase extends or 5 elongates the primer using nucleotide triphosphates as building blocks. The extension product (and its complement) serve as further templates for hybridization. Generally, for extension to occur, the 3' end of the primer must be perfectly complementary to the target. Many polymerases, including heat stable polymerases are known.

According to the present invention, the 3' ends of PCR primers are modified so that the primer is not extendable by a polymerase enzyme. Where these modifications can be removed in a template dependent manner, another level 10 of stringency is added to the hybridization requirement for primer extension by the polymerase enzyme. This added level of stringency, particularly when both primary and secondary primers bear modified ends, effectively reduces spurious signal generation in PCR.

Many of the same general strategies useful for LCR regarding the choice of blocking groups and methods of correction may also be employed for PCR. Whereas in LCR the modification must block spurious ligation, in PCR the 15 modification must block spurious elongation (extension). Thus, the modified or blocked 3' end, when hybridized to the target sequence, must not support elongation by a polymerase. To apply the descriptions of the LCR modified end embodiments given above, the terms "point of ligation" or "intended point of ligation" should be substituted with "point of elongation initiation"

**20 A. OVERHANGING MODIFIED ENDS**

Overhanging ends referred above to the relationship of complementary probes in the LCR situation. In the case of PCR, the term "overhanging ends" does not have a comparable meaning. For PCR, these modified ends are more 25 aptly described as "template dependent blocking groups". Nevertheless, the "overhanging ends" modifications and corrections from LCR may generally be applied to PCR.

For chemical blocking modifications, all possible 'Z' moieties for the R group (with the possible exception of - deoxyribose) which are useful in LCR can also be used to block polymerization in PCR. In addition, identical correction mechanisms employing endonuclease IV may be employed for PCR.

"Overhang" modifications for LCR (consisting of additional nucleic acids beyond the point of intended ligation) 30 were presented above. They are:

1. essentially ribonucleotide overhangs,
2. Abasic site overhangs, and
3. an overhang with a mismatched base.

35 While these modifications would seem to be disfavored for PCR, each of the overhang classes of modified ends may also be employed in PCR in an analogous manner, provided the overhang to be corrected (cleaved) can itself be rendered inactive as a substrate for an extension enzyme (eg. polymerase). If the overhang is not inactivated, it may, when cleaved, act as a primer for subsequent unwanted extension. A typical method of inactivating the overhang is to render the 3' terminus unsuitable for recognition by the extension enzyme. The modifications mentioned above in connection with the primer generally will inactivate the 3' end of the overhang as well. However, unlike modifications to the primer, modifications to the 3' end of the overhang should not be correctable by the same mechanism which is used to correct the primer modification. Otherwise both might be corrected simultaneously. Suitable modifications which are not easily corrected include a terminal dideoxynucleotide, cordecepin, or any other chemical modification either known or to be discovered which will relatively permanently prevent primer extension from the 3' terminus.

40 As in the case of LCR, these modifications are corrected in a template dependent fashion. The correction methods and reagents are the same as in LCR.

**B. ENDS MODIFIED BY RECESSES**

50 It is not presently known to us if or how recessed end modifications may be useful to reduce spurious signal development in PCR.

Following selection of a modification strategy, appropriate primers with modified ends are prepared. Conditions for hybridization of primers to target DNA are the same as for standard PCR and are found in the literature. Subsequent 55 cycles of elongation, denaturation, and rehybridization are also the same as for standard PCR, differing only in the inclusion of an enzyme or other agent for correcting the modified end in a target dependent manner.

**EXAMPLES**

The invention will now be described further by way of examples. The examples are illustrative of the invention and are not intended to limit it in any way. Unless otherwise indicated, probe and target sequences in the examples are written with the 5' end toward the left.

**Example 1.**

The following duplex target DNA sequence is presented as only a single strand for simplicity sake. The "-" in the sequence represents the intended point of ligation of the LCR probes.

3'...TTAAGCTCGAGCCATGGG-CCCCTAGGAGATCTCAGCTGGACGT...5'

(or SEQ ID NO:1)

15 The following probe set was designed to detect the above target sequence by LCR, with reduced background levels.

A 5'-AATT~~C~~GAGCTCGGTACCCp (or SEQ ID NO:2)

A' 3'-GCTCGAGCCATGGG (or SEQ ID NO:3)

B 5'-GGGGATCCTCTAGAGTCGACCTGCA (or SEQ ID NO:4)

B' 3'-CCCCCTAGGAGATCTCAGCTG (or SEQ ID NO:5)

The probe set features two probes (A & B') containing terminal 3' phosphate blocking groups (underlined).

25 LCR reactions are performed (substantially as described in EP-A-320 308) using various amounts of target (pUC19). After the hybridization step of each cycle, endonuclease IV purified from *E. coli* is added to the reaction. This can be done under standard LCR conditions, since *E. coli* endonuclease IV is somewhat thermostable. As a control, the LCR is run using the same number of target molecules without the addition of endonuclease IV. In these controls a probe set similar to the one shown above is used, only the 3' terminal nucleotides (containing the 3' phosphates) are not included on probes A and B'.

30 In both the experimental and control reactions, the rate of appearance of ligated product is correlated with the initial number of target molecules added. What distinguishes the two protocols is that in the second case, a "blank" tube containing no target molecules gives rise to signal at about the same rate as a tube containing 1000 target molecules, whereas in the case where modified probes and endonuclease IV are used, a "blank" tube containing no target molecules gives rise to signal significantly more slowly than does a tube containing 1000 target molecules. This suppression of background provides an advantage in increasing the usable range of sensitivity of the assay.

35 Those skilled in the art will immediately appreciate the desirability of employing a highly thermostable endonuclease IV, for the same reasons that highly thermostable ligases and polymerases are useful and desirable in LCR and PCR respectively. Those skilled in the art will also appreciate that other enzymes, which can remove modifications at the 5' or 3' ends of a DNA strand in a template dependent manner leaving the previously blocked 5' phosphate or 3' hydroxyl intact, can be employed in a manner completely analogous to endonuclease IV as described above.

**Example 2**

The following probe set can be used to detect the target DNA of example 1 with reduced background.

45 A 5'-AATT~~C~~GAGCTCGGTACCC (or SEQ ID NO:6)

A' 3'-GCTCGAGCCATGGGrCrCCC (or SEQ ID NO:7)

B 5'-TACrCrCGGGGATCCTCTAGAGTCGACCTGCA (or SEQ ID NO:8)

B' 3'-CCCCCTAGGAGATCTCAGCTG (or SEQ ID NO:9)

50 Probes A' and B contain chimeric ribo/deoxyribonucleotide extensions (bold and underlined). The ribonucleotide bases are preceded with a lower case "r". LCR reactions are performed as in example 1 with the substitution for Ribonuclease H for endonuclease IV. For controls the same control probe set may be used as in example 1.

55 Results and interpretation are the same as example 1.

**Example 3**

The following probe set can also be used to detect the target DNA of example 1 with reduced background.

- 5 A 5'-AATTCGAGCTCGGTACCCJG (or SEQ ID NO:10)
- A' 3'-GCTCGAGCCATGGG (or SEQ ID NO:11)
- B 5'-GGGGATCCTCTAGAGTCGACCTGCA (or SEQ ID NO:12)
- 10 B' 3'-**GJ**CCCCTAGGAGATCTCAGCTG (or SEQ ID NO:13)

Probes A and B' contain extensions (underlined,boldface type) featuring an abasic site ("J") followed by a standard nucleotide. LCR reactions are performed as in example 1. Results and interpretation are the same as in example 1.

**Example 4**

The following probe set can also be used to detect the target DNA of example 1 with reduced background.

- A 5'-AATTCGAGCTCGGTACCC (or SEQ ID NO:14)
- 20 A' 3'-GCTCGAGCCATGGGAC (or SEQ ID NO:15)
- B 5'-**CAGGGGATCCTCTAGAGTCGACCTGCA** (or SEQ ID NO:16)
- B' 3'-CCCCTAGGAGATCTCAGCTG (or SEQ ID NO:17)

25 Probes A and B' contain dinucleotide extensions (underlined, boldface type) which when hybridized to the template DNA feature a first nucleotide A/G mismatch and a hybridizable second nucleotide. LCR reactions are performed as in example 1 with the substitution of an A/G mismatch repair enzyme (complex) for endonuclease IV. Results and interpretation are the same as in example 1.

**Example 5**

Haplotype 3 Phenylketouria (PKU) accounts for about 38% of all PKU alleles in one Danish study group. It is caused by a single base mutation (G>A) at the 5' splice donor site of intron 12 in the gene sequence encoding phenylalanine hydroxylase (PAH). The mismatch repair methodology for background reduction as outlined in example 4 can also serve as a sensitive assay for the determination of the presence or absence of this genetic defect in samples of blood or other fluids from individuals suspected of carrying this trait.

Probes having the end portions specified below are prepared complementary to the following target DNA from intron 12 of the PAH gene:

- 40 3'-...TTTAATGAATGACAATTACCT...-5' normal PAH DNA  
(or SEQ ID NO:18)
- 45 3'-...TTTAATGAATAACAATTACCT...-5' PKU DNA  
(or SEQ ID NO:19)
- A 5-.....AAATTACTTA (or SEQ ID NO:20)
- A' 3-.....TTTAATGAATAAC (or SEQ ID NO:21)
- B 5-**CTGTTAAC**.... (or SEQ ID NO:22)
- B' 3-**GACAATTACCT**.... (or SEQ ID NO:23)

55 The probes are designed according to standard LCR considerations (i.e. 15-30 mers), with the addition of single nucleotide extensions on B and B'. These extensions (relative to target DNA) consist of a first mismatched nucleotide followed by two hybridizable nucleotides.

Human DNA is purified from the blood of a subject to be tested for presence of PKU. It may be desirable to shear

the DNA to an average size of  $\leq$  10 kb. The sample is subjected to LCR, with the above probes and addition of AG mismatch repair system enzyme(s) after the hybridization step of each cycle (see example 4). If the sample contains no wild type allele, the appearance of LCR reaction product, if any, will be significantly delayed compared to a standard LCR reaction using unmodified probes. If on the other hand, the wild type allele is present, rapid appearance of fused probes from the LCR reaction will occur.

#### Example 6

Single gap LCR extension was performed for 80 cycles, each cycle consisting of a 30 second incubation at 85°C and a 20 second incubation at 50°C using a Coy thermocycler (Key Scientific). Cycle number was chosen to maximize the blunt end ligation background. Reactions were set up with either 0 or  $10^6$  target DNA molecules. The target DNA was ScrF1 digested HPV 16 genomic DNA cloned into a pGEM vector. Each reaction also contained 10 nanograms of human placental background DNA. Two reactions were performed using standard blunt end oligonucleotides and two were performed using a single gapped set of oligonucleotides. Standard blunt end LCR reactions were run in a buffer containing 50mM EPPS pH7.8, 100mM KC1, 10mM MgCl<sub>2</sub>, 1mM DTT, 10mM NH<sub>4</sub>C1, 100uM NAD, 10 µg/ml BSA, 5 x  $10^{11}$  molecules each oligonucleotides A and B' (Table III), 7.5 x  $10^{11}$  molecules each oligonucleotides B and A' (Table III), and 1X *Thermus thermophilus* DNA ligase. Gapped LCR reactions were performed in the identical buffer except that oligonucleotide A' replaced A", and 25 mM 2'-deoxyadenosine 5'-triphosphate and 1.25 units Taq DNA polymerase were added. The oligonucleotides are specific for map positions 5695-5744 on the HPV 16 genome. In all cases, reaction volume was 50 µl and the reaction was overlaid with 25 µl of mineral oil prior to cycling.

Table III

<u>Oligonucleotide</u>	
A	FL- AAGTTGTAAGCACGGATGAATATGT (or SEQ ID NO:24)
A'	CATATTCCATCCGTGCTTACAAC (or SEQ ID NO:25)
A"	ACATATTCCATCCGTGCTTACAAC (or SEQ ID NO:26)
B	TGCACGCACAAACATATATTATCA-BIO (or SEQ ID NO:27)
B'	BIO- ATGATAATATATGTTGTGCGTGCA (or SEQ ID NO:28)
C	FL- ATTATACATTAAAGGCTCTGGGTC (or SEQ ID NO:29)
C'	ACCCAGAGCCTTTAATGTATAAA-FL (or SEQ ID NO:30)
D	ACTGCAAATTAGCCAGTTCAA-BIO (or SEQ ID NO:31)
D'	BIO- TTGAACTGGCTAAATTGCAGTA (or SEQ ID NO:32)

Following amplification, reactions were diluted 1:1 with sterile dH<sub>2</sub>O, and the double labelled LCR amplification products were detected via a sandwich immunoassay performed on a prototype of the Abbott IMx system with results as follows.

Number of Molecules	Rate (c/s/s)
0 Standard LCR	911.3
$10^6$ Standard LCR	925.9
0 Modified LCR	62.0
$10^6$ Modified LCR	985.4

#### Example 7

Double gap LCR extension was performed for 35 cycles using a COY thermocycler (Key Scientific). Incubation times were identical to those above. Reactions were set up with either 0,  $10^3$ , or  $10^6$  target molecules. The target DNA was ScrF1 digested HPV 16 genomic DNA cloned into a pGEM vector. Each reaction also contained 10 nanograms of human placental background DNA. Reaction conditions were identical to single gap extension experiments described in Example 6 above except each reaction contained 5 x  $10^{11}$  molecules each oligonucleotides C and D' (See Table III, above), 7.5 x  $10^{11}$  molecules each oligonucleotides D and C', and 25mM each 2'-deoxythymidine 5'-triphosphate and 2'-deoxyguanosine 5'-triphosphate. The oligonucleotides are specific for map positions 6457-6505 on the HPV 16 genome.

Following amplification, reaction products were diluted 1:1 with sterile dH<sub>2</sub>O, and the double labeled LCR amplification products detected via a sandwich immunoassay performed on a prototype of the Abbott IMx system with results

as follows.

	Number of Molecules	Rate (c/s/s)
5	0	15.44
	10 <sup>3</sup>	42.47
	106	1375.19

Example 8

10 Approximately 70% of mutant alleles of the cystic fibrosis gene exhibit a single trinucleotide deletion in exon 10 (Riordan, J.R. et al *Science* 245:1066 1989; Kerem, B. et al *Science* 245:1073 1989.) The oligonucleotides listed in Table IV below could be used for single gap LCR amplification of both the normal (oligonucleotides A, A', B, and B') and mutant (oligonucleotides C, C', B, and B') alleles of the CF gene.

15 Table IV

A	FI-CACCATTAAAGAAAATATCATCTT (or SEQ ID NO:34)
A'	AAGATGATATTTCTTAATGGTGC-FI (or SEQ ID NO:35)
B	GGTGTTCCTATGATGAATATAGA-BIO (or SEQ ID NO:36)
B'	BIO-CTATATTATCATCATAGGAAACACCA (or SEQ ID NO:37)
C	FL-TGGCACCAATTAAAGAAAATATCAT (or SEQ ID NO:38)
C'	ATGATATTTCTTAATGGTGCCAG-FL (or SEQ ID NO:39)

20 The LCR oligonucleotides A, A', B, and B' were used for amplification of wild type sequences of the cystic fibrosis gene from human placental DNA. Reactions were set up with either no target/background DNA or with 1.5 ug of human 30 placental DNA. Duplicate reactions were run in an identical buffer as described in Example 6 containing 5 x 10<sup>11</sup> molecules each of oligonucleotides A and B', 7.5 x 10<sup>11</sup> molecules each oligonucleotides A' and B, 25 mM 2'-deoxythymidine 5'-triphosphate, and 1.25 units of *Taq* DNA polymerase for 30 cycles. Double labeled amplification products were detected as described.

	Target	Rate (c/s/s)
35	No Target	9.3
	Placental DNA	738.5

40 Example 9

Oligonucleotides A, A', B, and B' (Table III) could be varied in length from 17-35 nucleotides. Initial experiments will focus on the 19-mer and 30-mer oligonucleotide sets listed in Table V. The actual upper and lower limits of oligonucleotide size can be determined experimentally. The procedure of Example 6 is repeated, using the probes of Table 45 V for single gap LCR extension. Similar studies can be performed with the double gap oligonucleotide set.

50

55

Table V

## 5 19-mer set:

A: F1-TAACGACGGATGAATATGT (or SEQ ID NO:40)  
 A': CATATTCACTCCGTGCTTAC (or SEQ ID NO:41)  
 10 B: TGCACGCACAAACATATAT-BIO (or SEQ ID NO:42)  
 B': BIO-ATATATGTTGTGCGTGCA (or SEQ ID NO:43)

## 15 30-mer set:

A: F1-TATCTAAGTTGTAAGCACGGATGAATATGT (or SEQ ID NO:44)  
 A': CATATTCACTCCGTGCTTACAACCTAGATAC (or SEQ ID NO:45)  
 20 B: TGCACGCACAAACATATATTATCATGCAGG-BIO (or SEQ ID NO:46)  
 B": Bio-CCTGCATGATAATATATGTTGTGCGTGCA (or SEQ ID NO:47)

Example 10

25 Single and double gap LCR extension allows the use of increased cycle numbers since the blunt end ligation background is greatly reduced. The reduction in background as well as the use of additional cycles of LCR is expected to greatly enhance the sensitivity of the LCR technique. Oligonucleotides A, A', A", B, and B' (Table III) could be used for blunt end and single gap LCR for various cycle numbers in order to determine the extent of sensitivity enhancement.  
 30 Reaction conditions would be identical to those described in Example 6 except that replicate positive and negative reactions would be examined after 20, 25, 30, 35, 40, 45, and 50 cycles. Similar experiments could be performed using even more cycles if desired, and/or using the double gap LCR extension set described in Example 7.

Example 11

35 The ability of single gap LCR extension to distinguish single base mismatches was examined using synthetic HPV 16 oligonucleotide target sequences. The oligonucleotides (A, A', B, and B') used for amplification are listed in Table III of Example 6. The synthetic target sequences used are listed in Table VI below. Target sequence A (Table VI) represents wild type HPV sequences specific for map positions 5695-5744 on the HPV 16 genome. Target sequence B is identical to sequence A except that the thymidine at base position 25 which acts as a template for gap filling  
 40 with dATP is changed to an adenine. Therefore, oligonucleotide B' (Table III, Example 6) cannot be extended under the conditions described in example 6 when hybridized to this target sequence. Target sequence C is identical to sequence A except for a single G to T change at the stopbase (target base position 24). When hybridized to this target sequence, oligonucleotide B' will be extended by 3 bases. Therefore, extension will occur beyond the gap region.

45 Synthetic Targets (Table VI)

A AAGTTGTAAGCACGGATGAATATGTTGCACGCACAAACATATATTATCA  
 (or SEQ ID NO:48)

50 B AAGTTGTAAGCACGGATGAATATGATGCACGCACAAACATATATTATCA  
 (or SEQ ID NO:49)

C AAGTTGTAAGCACGGATGAATATTTGCACGCACAAACATATATTATCA  
 (or SEQ ID NO:50)

Reactions were set up in triplicate with human placental background DNA (no target control) and placental DNA containing  $10^6$  molecules of a given synthetic target. Reaction conditions were identical to those described in Example 6. Single gap LCR extension was performed for 50 cycles. Following amplification, reaction products were diluted 1:1 with sterile dH<sub>2</sub>O, and the double labeled LCR reaction products were detected via a sandwich immunoassay performed on a prototype of the Abbott IMx system with the following results.

	<u>Target</u>	<u>Rate (c/s/s)</u>
10	No target	15.2
	Target A	167.7
	Target B	8.2
15	Target C	12.5

#### EXAMPLE 12

The following synthetic oligonucleotides are prepared and used as primers for a PCR reaction, with pUC18 as the intended target DNA.

	<u>Primer</u>	<u>Complementary to pUC18(nt)*</u>
25	A 5'-AATTCGAGCTCGGTACCC (or SEQ ID NO:57)	498-481
	B 5'-CTGAGAAATAGTGTATGC (or SEQ ID NO:58)	2239-2255

In addition, modified primers A<sub>mod</sub> and B<sub>mod</sub>, which contain abasic sites are prepared. These primers are used to replace primers A and B respectively. The sequences of the modified primers and their complementarity to pUC18 DNA is as follows:

	<u>Primer</u>	<u>Complementary to pUC18(nt)*</u>
35	A <sub>mod</sub> 5'-AATTCGAGCTCGGTACCCJGGGATCCX (or SEQ ID NO:59)	498-473
	B <sub>mod</sub> 5'-CTGAGAAATAGTGTATGCJCGCX (or SEQ ID NO:60)	2239-2259

40 \*The nucleotide (nt) numbering system refers to that published by DNA Star Inc, (Madison, WI).

The experimental oligonucleotides consist of the original sequences (A or B above) modified to include a single abasic residue (J) and additional nucleotides complementary to the pUC18 target. Methods for preparing oligonucleotides containing abasic residues are given by Takeshita, et al., *supra*. The 3' terminus of the modification (the "overhang") is inactivated by including a dideoxy adenosine (X) residue, such as by terminal transferase as described by Berger, et al., *Guide to Molecular Cloning Techniques*, p. 104 (1987).

45 PCR is performed according to the method of Mullis, et al (see eg U.S. Patents 4,683,195 and 4,683,202), with the presence of <sup>32</sup>P-dCTP in the nucleotide mixture. Where indicated below, the enzyme Endonuclease IV (Endo IV) is added to the reaction mixture following the hybridization step of each cycle.

50 Following PCR, the amplification products are precipitated with trichloroacetic acid (TCA), washed, and the signal is measured. The following table gives the anticipated relative signal intensity for the various reaction schemes.

<u>Primer mix</u>	<u>with target DNA</u>	<u>without target DNA</u>
5      A + B	+++	++
A <sub>mod</sub> + B <sub>mod</sub> + Endo IV	+++	-
A <sub>mod</sub> + B <sub>mod</sub> - Endo IV	-	-
10     A + B, no polymerase	-	-

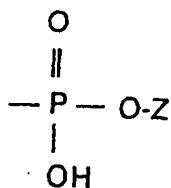
## Claims

15     Claims for the following Contracting States : AT, BE, CH, DE, FR, GB, IT, LI, NL, SE

1. A method of amplifying a target nucleic acid sequence enzymatically to yield amplification products, wherein an enzyme utilizes: a nucleic acid initiator; the target sequence or amplification product to which it hybridizes as a template; and at least one additional nucleoside-containing reactant which can be enzymatically assembled to form amplification products complementary to the target, the amplification products themselves serving as further templates; said method characterized in that it comprises:
  - (a) providing requisite initiators capable of hybridizing with the target, wherein at least one of the initiators is modified such that, when the initiator is hybridized, the enzyme is substantially incapable of acting on the initiator as its substrate, so that amplification product is not assembled;
  - (b) hybridizing the initiator to the target, if present, to form an initiator-template complex;
  - (c) correcting the modification in a target dependent manner to allow the initiator-template complex to be acted upon by the enzyme;
  - (d) enzymatically assembling an amplification product; and
  - (e) dissociating the amplification product from the target and repeating the hybridization, correction and assembling steps to amplify the desired target sequence;

25     wherein the modification comprises a blocking moiety attached to said initiator such that it blocks a chemical group required in the enzymatic assembly of step d.

- 30     2. The method of Claim 1 wherein the blocking moiety is of the form:



45     wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub>CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose.

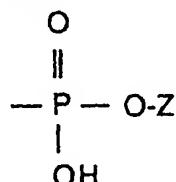
- 50     3. The method of Claim 1 wherein said blocking moiety is a nucleic acid overhang, provided that if the enzymatic assembly of step d occurs by extension, the overhang includes a non-extendable 3' terminus.
4. The method of Claim 3 wherein said overhang is selected from among:
  - a. ribonucleotides when said initiator comprises deoxyribonucleotides;
  - b. an oligonucleotide having at least one abasic residue; and
  - c. an oligonucleotide having a residue selected so that, upon hybridization of the modified initiator with a target strand, a base pair mismatch results.

5. The method of Claim 1 or 3 wherein said correction of the modification comprises the use of an agent selected from:

- a. RNase H enzyme;
- b. an endonuclease that cleaves a nucleic acid strand at an abasic site substantially only when the strand is hybridized to a complementary strand;
- c. endonuclease IV; and
- d. a mismatch pair enzyme.

6. The method of Claim 5 wherein the blocking moiety is of the form:

10



15

wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub>CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose; and wherein said correction of the modification comprises the use of an endonuclease IV.

20

7. The method of Claim 1 wherein the enzyme is a polymerase, the initiator comprises a primer, the nucleoside-containing reactant comprises individual nucleoside triphosphates, and the amplification product comprises an extension product made from primer and nucleoside triphosphates.

25

8. The method of Claim 1 wherein the enzyme is a ligase, the initiator is a first oligonucleotide probe, the nucleoside-containing reactant is a second oligonucleotide probe, and the amplification product comprises fused first and second oligonucleotide probes.

30

9. A method of amplifying a target nucleic acid sequence enzymatically to yield amplification products, wherein an enzyme utilizes: a nucleic acid initiator; the target sequence or amplification product to which it hybridizes as a template; and at least one additional nucleoside-containing reactant which can be enzymatically assembled to form amplification products complementary to the target, the amplification products themselves serving as further templates; said method characterized in that it comprises:

35

- (a) providing requisite initiators capable of hybridizing with the target, wherein at least one of the initiators is modified such that, when the initiator is hybridized, the enzyme is substantially incapable of acting on the initiator as its substrate, so that amplification product is not assembled;
- (b) hybridizing the initiator to the target, if present, to form an initiator-template complex;
- (c) correcting the modification in a target dependent manner to allow the initiator-template complex to be acted upon by the enzyme;
- (d) enzymatically assembling an amplification product; and
- (e) dissociating the amplification product from the target and repeating the hybridization, correction and assembling steps to amplify the desired target sequence;

45

wherein the modified initiator(s) of step (a) include a first probe hybridizable to a first segment of the target, a second probe hybridizable to a second segment of the target, a third probe hybridizable to the first probe and a fourth probe hybridizable to the second probe, wherein, as the modification;

50

- (i) the 5' end of the first segment of the target is spaced from the 3' end of the second segment by X<sub>n</sub> bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;
- (ii) the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by Y<sub>m</sub> bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, and m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the X<sub>n</sub> and Y<sub>m</sub> sequences to comprise the set not N and not M, and that the sequence of X<sub>n</sub> bases is not complementary to the sequence of Y<sub>m</sub> bases; and
- (iii) the base adjacent the 5' end of X<sub>n</sub> and the base adjacent the 5' end of Y<sub>m</sub> are selected from a set Q, which

consists of not N and not M;

and wherein said step (c) comprises extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively;  
 5 and wherein the enzyme used in the assembly step (d) is a ligase.

10. The method of Claim 9 wherein n equals from 1 to 5.
11. The method of Claim 9 wherein m equals zero.
12. The method of Claim 9 wherein n equals from 1 to 5; and m independently also equals from 1 to 5.
13. The method of Claim 9 wherein the deoxy X' triphosphates added during step c comprise bases modified to contain  
 15 a marker selected from the group consisting of labels, hooks and haptens.
14. The method of Claim 9 comprising the further step of detecting the presence of amplified target sequences by means of a hapten marker attached to the 5' ends of the second and third probes.
20. 15. A diagnostic kit comprising in combination:
  - (a) an initiator selected from either two pairs of probes hybridizable with target such that they are capable of being ligated in LCR or a pair of primers hybridizable with target so as to be capable of initiating PCR, wherein at least one of the probes or primers is modified such that, when the initiator is hybridized, a ligase or polymerase is substantially incapable of acting on the initiator as its substrate;
  - (b) an assembly reagent selected from either a ligase or a polymerase enzyme for assembling an amplification product; and
  - (c) a correcting reagent capable of correcting the modified probe/primer in a target dependent manner to allow the probe/primer-template complex to be acted upon by the ligase or polymerase;
30. wherein the modification comprises a blocking moiety attached to said initiator such that it blocks a chemical group required in the enzymatic assembly.
16. The kit of Claim 15 wherein the blocking moiety is of the form:  
 35
 
$$\begin{array}{c} \text{O} \\ | \\ \text{--- P --- O-Z} \\ | \\ \text{OH} \end{array}$$
40. wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub>CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose.
45. 17. The kit of Claim 15 wherein the correcting reagent comprises an agent selected from:
  - a. RNase H enzyme;
  - b. an endonuclease that cleaves a nucleic acid strand at an abasic site substantially only when the strand is hybridized to a complementary strand;
  50. c. endonuclease IV; and
  - d. a mismatch pair enzyme.
55. 18. The kit of Claim 15 wherein at least one probe contains a marker selected from the group consisting of labels, hooks and haptens.
19. A diagnostic kit comprising in combination

EP 0 439 182 B1

(a) an initiator comprising a first probe hybridizable to a first segment of a target nucleic acid, a second probe hybridizable to a second segment of the target, a third probe hybridizable to the first probe and a fourth probe hybridizable to the second probe; wherein

5 (i) the 5' end of the first segment of the target is spaced from the 3' end of the second segment by  $X_n$  bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1,

10 (ii) the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, and m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences to comprise the set not N and not M, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases, and

15 (iii) the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from a set Q, which consists of not N and not M;

(b) an assembly reagent comprising a ligase for assembling an amplification product; and

(c) a correcting reagent and deoxy X' triphosphates and optionally deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively, to extend the first probe to fill the  $X_n$  gap to allow the extended first probe to be ligated to the second probe and optionally to extend the fourth probe to fill the  $Y_m$  gap to allow the extended fourth probe to be ligated to the third probe.

20. The kit of Claim 19 wherein n equals from 1 to 5.

21. The kit of Claim 19 wherein n equals from 1 to 5; and m independently also equals from 1 to 5.

**22.** The kit of Claim 19 wherein at least one probe contains a marker selected from the group consisting of labels, hooks and haptens.

30 23. A method for distinguishing a first, target nucleic acid sequence from a second, nontarget sequence which differs from the target by the identity of at least one base, said method comprising:

a. providing an excess of each of four probes, a first probe complementary to a first segment of the target, a second probe complementary to a second segment of the target, a third probe complementary to the first probe and a fourth probe complementary to the second probe,

(i) wherein the 5' end of the first segment of the target is spaced from the 3' end of the second segment by  $X_n$  bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;

(ii) wherein the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each  $Y$  being independently selected from a set  $M$  consisting of from zero to any three of the four bases,  $m$  being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases;

(iii) wherein the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from

a set Q, which consists of not N and not M; and  
(iv) wherein the nontarget sequence differs by the identity of at least one base, Z, in the  $X_n$  region or the  $Y_m$  region;

50 b. combining said four probes under hybridizing conditions with single stranded target or double stranded target separated from its complementary strand;

c. while hybridized to target, extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively, on the target strand but omitting deoxy Z' triphosphates complementary to the single different base in the nontarget gap;

55 d. while hybridized to target, ligating the extended first probe to the second probe, and optionally ligating the extended fourth probe to the third probe, to form at least one double stranded complex of ligated probe hybridized to the target sequence; and

e. separating and detecting the properly extended and ligated probes from the improperly extended, unligated probes.

24. A method for distinguishing a first, target nucleic acid sequence from a second, nontarget sequence which differs from the target by the identity of at least one base, said method comprising:

a. providing an excess of each of four probes, a first probe complementary to a first segment of the target, a second probe complementary to a second segment of the target, a third probe complementary to the first probe and a fourth probe complementary to the second probe,

(i) wherein the 5' end of the first segment of the target is spaced from the 3' end of the second segment by  $X_n$  bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;

(ii) wherein the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases;

(iii) wherein the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from a set Q, which consists of not N and not M; and

(iv) wherein the nontarget sequence differs by the identity of at least one base, Z, in the Q region, such that Z is a base contained in the set N, the set M or both;

b. combining said four probes under hybridizing conditions with single stranded target or double stranded target separated from its complementary strand;

c. while hybridized to target, extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively, whereby Z fails to terminate extension of the first and optional fourth probes;

d. while hybridized to target, ligating the properly extended first probe to the second probe, and optionally ligating the properly extended fourth probe to the third probe, to form at least one double stranded complex of ligated probe hybridized to the target sequence; and

e. separating and detecting the properly extended and ligated probes from the improperly extended, unligated probes.

**Claims for the following Contracting States : DK, ES, GR**

1. A method of amplifying a target nucleic acid sequence enzymatically to yield amplification products, wherein an enzyme utilizes: a nucleic acid initiator; the target sequence or amplification product to which it hybridizes as a template; and at least one additional nucleoside-containing reactant which can be enzymatically assembled to form amplification products complementary to the target, the amplification products themselves serving as further templates; said method characterized in that it comprises:

(a) providing requisite initiators capable of hybridizing with the target, wherein at least one of the initiators is modified such that, when the initiator is hybridized, the enzyme is substantially incapable of acting on the initiator as its substrate, so that amplification product is not assembled;

(b) hybridizing the initiator to the target, if present, to form an initiator-template complex;

(c) correcting the modification in a target dependent manner to allow the initiator-template complex to be acted upon by the enzyme;

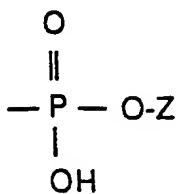
(d) enzymatically assembling an amplification product; and

(e) dissociating the amplification product from the target and repeating the hybridization, correction and assembling steps to amplify the desired target sequence.

2. The method of Claim 1 wherein said modification of at least one initiator (step a) comprises a blocking moiety attached to said initiator such that it blocks a chemical group required in the enzymatic assembly of step d.

3. The method of Claim 2 wherein the blocking moiety is of the form:

EP 0 439 182 B1



10 wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub> CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose.

15 4. The method of Claim 2 wherein said blocking moiety is a nucleic acid overhang, provided that if the enzymatic assembly of step d occurs by extension, the overhang includes a non-extendable 3' terminus.

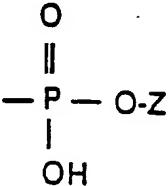
5. The method of Claim 4 wherein said overhang is selected from among:

- a. ribonucleotides when said initiator comprises deoxyribonucleotides;
- b. an oligonucleotide having at least one abasic residue; and
- c. an oligonucleotide having a residue selected so that, upon hybridization of the modified initiator, with a target strand, a base pair mismatch results.

20 6. The method of Claim 2 or 4 wherein said correction of the modification comprises the use of an agent selected from:

- a. RNase H enzyme;
- b. an endonuclease that cleaves a nucleic acid strand at an abasic site substantially only when the strand is hybridized to a complementary strand;
- c. endonuclease IV; and
- d. a mismatch repair enzyme.

30 7. The method of Claim 6 wherein the blocking moiety is of the form:



40 wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub> CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose; and wherein said correction of the modification comprises the use of an endonuclease IV.

45 8. The method of claim 1 wherein the enzyme is a polymerase, the initiator comprises a primer, the nucleoside-containing reactant comprises individual nucleoside triphosphates, and the amplification product comprises an extension product made from primer and nucleoside triphosphates.

9. The method of claim 1 wherein the enzyme is a ligase, the initiator is a first oligonucleotide probe, the nucleoside-containing reactant is a second oligonucleotide probe, and the amplification product comprises fused first and second oligonucleotide probes.

50 10. The method of claim 8 wherein the modified initiator(s) of step (a) includes a first probe hybridizable to a first segment of the target, a second probe hybridizable to a second segment of the target, a third probe hybridizable to the first probe and a fourth probe hybridizable to the second probe, wherein, as the modification;

- 55 (i) the 5' end of the first segment of the target is spaced from the 3' end of the second segment by X<sub>n</sub> bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;
- (ii) the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5'

end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, and m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences to comprise the set not N and not M, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases; and

(iii) the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from a set Q, which consists of not N and not M;

and wherein said step (c) comprises extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively.

11. The method of Claim 10 wherein n equals from 1 to 5.

15 12. The method of Claim 10 wherein m equals zero.

13. The method of claim 10 wherein n equals from 1 to 5; and m independently also equals from 1 to 5.

20 14. The method of claim 10 wherein the deoxy X' triphosphates added during step c comprise bases modified to contain a marker selected from the group consisting of labels, hooks and haptens.

15. The method according to Claim 10, and comprising the further step of detecting the presence of amplified target sequences by means of a hapten marker attached to the 5' ends of the second and third probes.

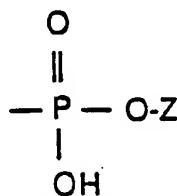
25 16. A diagnostic kit comprising in combination:

(a) an initiator selected from either two pairs of probes hybridizable with target such that they are capable of being ligated in LCR or a pair of primers hybridizable with target so as to be capable of initiating PCR, wherein at least one of the probes or primers is modified such that, when the initiator is hybridized, a ligase or polymerase is substantially incapable of acting on the initiator as its substrate;

30 (b) an assembling reagent selected from either a ligase or a polymerase enzyme for assembling an amplification product; and

(c) a correcting reagent capable of correcting the modified probe/primer in a target dependent manner to allow the probe/primer-template complex to be acted upon by the ligase or polymerase.

35 17. The kit of Claim 16 wherein the blocking moiety is of the form:



45 wherein Z is selected from the group consisting of -H; -(CH<sub>2</sub>)<sub>n</sub>CHO, where n is from 1 to 3; -deoxyribose; and -dideoxyribose.

18. The kit of Claim 16 wherein the correcting reagent comprises an agent selected from:

50 a. RNase H enzyme;  
 b. an endonuclease that cleaves a nucleic acid strand at an abasic site substantially only when the strand is hybridized to a complementary strand;  
 c. endonuclease IV; and  
 d. a mismatch repair enzyme.

55 19. The kit of Claim 16 wherein the initiator comprises two pairs of probes, the assembling reagent comprises a ligase, and the correcting reagent comprises a polymerase.

20. The kit of claim 16 wherein at least one probe contains a marker selected from the group consisting of labels, hooks and haptens.

21. A method for distinguishing a first, target nucleic acid sequence from a second, nontarget sequence which differs from the target by the identity of at least one base, said method comprising:

a. providing an excess of each of four probes, a first probe complementary to a first segment of the target, a second probe complementary to a second segment of the target, a third probe complementary to the first probe and a fourth probe complementary to the second probe,

(i) wherein the 5' end of the first segment of the target is spaced from the 3' end of the second segment by  $X_n$  bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;

(ii) wherein the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases;

(iii) wherein the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from the set Q, which consists of not N and not M; and

(iv) wherein the nontarget sequence differs by the identity of at least one base, Z, in the  $X_n$  region or the  $Y_m$  region;

b. combining said four probes under hybridizing conditions with single stranded target or double stranded target or double stranded target separated from its complementary strand;

c. while hybridized to target, extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively, on the target strand but omitting deoxy Z' triphosphates complementary to the single different base in the nontarget gap;

d. while hybridized to target, ligating the extended first probe to the second probe, and optionally ligating the extended fourth probe to the third probe, to form at least one double stranded complex of ligated probe hybridized to the target sequence; and

e. separating and detecting the properly extended and ligated probes from the improperly extended, unligated probes.

22. A method for distinguishing a first, target nucleic acid sequence from a second, nontarget sequence which differs from the target by the identity of at least one base, said method comprising:

a. providing an excess of each of four probes, a first probe complementary to a first segment of the target, a second probe complementary to a second segment of the target, a third probe complementary to the first probe and a fourth probe complementary to the second probe,

(i) wherein the 5' end of the first segment of the target is spaced from the 3' end of the second segment by  $X_n$  bases, each X being independently selected from a set N consisting of from one to any three of the four bases, and n being any integer greater than or equal to 1;

(ii) wherein the third probe hybridizes to the first probe, such that the base on the first probe complementary to the 5' end of the third probe is spaced from the 3' end of the first probe by  $Y_m$  bases, each Y being independently selected from a set M consisting of from zero to any three of the four bases, m being zero or any integer greater than or equal to 1, provided that at least one base remains unused in the  $X_n$  and  $Y_m$  sequences, and that the sequence of  $X_n$  bases is not complementary to the sequence of  $Y_m$  bases;

(iii) wherein the base adjacent the 5' end of  $X_n$  and the base adjacent the 5' end of  $Y_m$  are selected from the set Q, which consists of not N and not M; and

(iv) wherein the nontarget sequence differs by the identity of at least one base, Z, in the Q region, such that Z is a base contained in the set N, the set M or both;

b. combining said four probes under hybridizing conditions with single stranded target or double stranded target or double stranded target separated from its complementary strand;

c. while hybridized to target, extending the first probe to fill the  $X_n$  gap, and optionally the fourth probe to fill

the  $Y_m$  gap, using an excess of deoxy X' triphosphates and deoxy Y' triphosphates, wherein X' and Y' represent the complements of X and Y, respectively, whereby Z fails to terminate extension of the first and optional fourth probes;

- 5 d. while hybridized to target, ligating the properly extended first probe to the second probe, and optionally ligating the properly extended fourth probe to the third probe, to form at least one double stranded complex of ligated probe hybridized to the target sequence; and
- e. separating and detecting the properly extended and ligated probes from the improperly extended, unligated probes.

10

### Patentansprüche

#### Patentansprüche für folgende Vertragsstaaten : AT, BE, CH, DE, FR, GB, IT, LI, NL, SE

15

1. Verfahren zur enzymatischen Vervielfachung einer Nukleinsäure-Zielsequenz zur Erzeugung von Vervielfachungsprodukten, wobei ein Enzym folgendes verwendet: einen Nukleinsäureinitiator, die Zielsequenz oder das Vervielfachungsprodukt als Schablone, an die/das er hybridisiert; und wenigstens einen zusätzlichen nukleosidhaltigen Reaktanten, der enzymatisch unter Ausbildung von Vervielfachungsprodukten zusammengefügt werden kann, die zu dem Ziel komplementär sind, wobei die Vervielfachungsprodukte ihrerseits als weitere Schablonen dienen; wobei das Verfahren dadurch gekennzeichnet ist, daß es folgendes umfaßt:

20

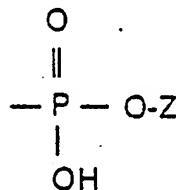
- (a) das Bereitsstellen der benötigten Initiatoren, die zur Hybridisierung mit dem Ziel befähigt sind, wobei wenigstens einer der Initiatoren derart abgewandelt ist, daß, wenn der Initiator hybridisiert wird, das Enzym im wesentlichen zur Einwirkung auf den Initiator als sein Substrat unfähig ist, so daß kein Vervielfachungsprodukt zusammengefügt wird;
- (b) Hybridisieren des Initiators an das Ziel, wenn vorhanden, zur Ausbildung eines Initiator-Schablonen-Komplexes;
- (c) Korrigieren der Abwandlung in zielabhängiger Weise, um dem Initiator-Schablonen-Komplex zu erlauben, der Einwirkung durch das Enzym zu unterliegen;
- (d) enzymatisches Zusammenfügen eines Vervielfachungsproduktes; und
- (e) Ablösen des Vervielfachungsproduktes vom Ziel und Wiederholen der Hybridisierung, von Korrektur- und Zusammenfügungsschritten zur Vervielfachung der gewünschten Zielsequenz;

30

wobei die Abwandlung eine Blockierungsgruppe umfaßt, die an den Initiator gebunden ist, derart daß sie eine chemische Gruppe blockiert, die beim enzymatischen Zusammenfügen nach Schritt (d) benötigt wird.

3. Verfahren nach Anspruch 1, worin die Blockierungsgruppe folgende Struktur aufweist:

40



45

worin Z aus der Gruppe gewählt ist, die aus -H, aus  $-(\text{CH}_2)_n\text{CHO}$ , wobei n gleich 1 bis 3 ist, aus -Desoxyribose und aus-Didesoxyribose besteht.

50

3. Verfahren nach Anspruch 1, worin die Blockierungsgruppe ein Überhang aus Nukleinsäuren ist, vorausgesetzt, daß wenn das enzymatische Zusammenfügen nach Schritt (d) durch Verlängerung stattfindet, der Überhang ein nicht verlängerbares 3'-Ende umfaßt.

55

4. Verfahren nach Anspruch 3, worin der Überhang aus folgendem gewählt ist:

- a. Ribonukleotiden, falls der Initiator Desoxyribonukleotide umfaßt;
- b. einem Oligonukleotid, das wenigstens einen nicht basischen Rest aufweist;
- c. einem Oligonukleotid mit einem Rest, der so gewählt ist, daß bei Hybridisierung des abgewandelten Initiators

**EP 0 439 182 B1**

mit einem Zielstrang eine mangelnde Übereinstimmung bei einem Basenpaar resultiert.

5. Verfahren nach Anspruch 1 oder 3, worin die Korrektur der Abwandlung die Verwendung eines Wirkstoffes umfaßt, der aus folgendem gewählt ist:

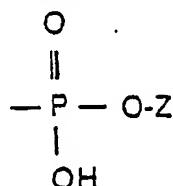
5

- a. RNase-H-Enzym;
- b. einer Endonuklease, die einen Nukleinsäurestrang an einer nicht basischen Stelle im wesentlichen nur dann spaltet, wenn der Strang an einen komplementären Strang hybridisiert ist;
- c. einer Endonuklease IV; und
- d. einem Enzym, das nicht übereinstimmende Paare zu reparieren vermag.

10

6. Verfahren nach Anspruch 5, worin die Blockierungsgruppe folgende Struktur aufweist:

15



20

worin Z aus der Gruppe gewählt ist, die aus -H, aus -(CH<sub>2</sub>)<sub>n</sub>CHO, wobei n gleich 1 bis 3 ist, aus -Desoxyribose, und aus-Didesoxyribose besteht; und wobei die Korrektur der Abwandlung die Verwendung einer Endonuklease IV umfaßt.

25

7. Verfahren nach Anspruch 1, worin das Enzym eine Polymerase ist, worin der Initiator einen Primer umfaßt, worin der nukleosidhaltige Reaktant einzelne Nukleosidtriphosphate umfaßt, und worin das Vervielfältigungsprodukt ein Verlängerungsprodukt aus Primer und Nukleosidtriphosphaten umfaßt.

30

8. Verfahren nach Anspruch 1, worin das Enzym eine Ligase ist, worin der Initiator eine erste Oligonukleotidsonde ist, wobei der nukleosidhaltige Reaktant eine zweite Oligonukleotidsonde ist, und wobei das Vervielfachungsprodukt verschmolzene erste und zweite Oligonukleotidsonden umfaßt.

35

9. Verfahren zur enzymatischen Vervielfachung einer Nukleinsäure-Zielsequenz zur Erzeugung von Vervielfachungsprodukten, wobei ein Enzym folgendes verwendet: einen Nukleinsäureinitiator; die Zielsequenz oder das Vervielfachungsprodukt als Schablone, an die/das er hybridisiert; und wenigstens einen zusätzlichen nukleosidhaltigen Reaktanten, der enzymatisch unter Ausbildung von Vervielfachungsprodukten zusammengefügt werden kann, die zu dem Ziel komplementär sind, wobei die Vervielfachungsprodukte ihrerseits als weitere Schablonen dienen; wobei das Verfahren dadurch gekennzeichnet ist, daß es folgendes umfaßt:

40

(a) das Bereitsstellen der benötigten Initiatoren, die zur Hybridisierung mit dem Ziel befähigt sind, wobei wenigstens einer der Initiatoren derart abgewandelt ist, daß, wenn der Initiator hybridisiert wird, das Enzym im wesentlichen zur Einwirkung auf den Initiator als sein Substrat unfähig ist, so daß kein Vervielfachungsprodukt zusammengefügt wird;

45

(b) Hybridisieren des Initiators an das Ziel, wenn vorhanden, zur Ausbildung eines Initiator-Schablonen-Komplexes;

(c) Korrigieren der Abwandlung in zielabhängiger Weise, um dem Initiator-Schablonen-Komplex zu erlauben, der Einwirkung durch das Enzym zu unterliegen;

(d) enzymatisches Zusammenfügen eines Vervielfachungsproduktes; und

50

(e) Ablösen des Vervielfachungsproduktes vom Ziel und Wiederholen der Hybridisierung, von Korrektur- und Zusammenfügungsschritten zur Vervielfachung der gewünschten Zielsequenz;

55

wobei der/die abgewandelte(n) Initiator(en) nach Schritt (a) eine erste Sonde umfaßt (umfassen), die an ein erstes Segment des Ziels hybridisierbar ist, eine zweite Sonde, die an ein zweites Segment des Ziels hybridisierbar ist, und eine dritte Sonde, die an die erste Sonde hybridisierbar ist, und eine vierte Sonde, die an die zweite Sonde hybridisierbar ist, worin die Abwandlung darin besteht, daß

(i) das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch X<sub>n</sub> Basen beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei der

EP 0 439 182 B1

vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;

(ii) die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen beabstandet ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, die von dem Nicht-M-und-nicht-N-Satz umfaßt wird, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz der  $Y_m$  Basen komplementär ist; und

(iii) die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht;

und wobei der Schritt (c) die Verlängerung der ersten Sonde zur Auffüllung des  $X_n$ -Spaltes, und wahlweise der vierten Sonde zur Auffüllung des  $Y_m$ -Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten umfaßt, worin X' und Y' jeweils die Komplemente von X und Y darstellen; und wobei das Enzym, das im Aufbauschritt (d) verwendet wird, eine Ligase ist.

10. Verfahren nach Anspruch 9, worin n gleich 1 bis 5 ist.

11. Verfahren nach Anspruch 9, worin m gleich 0 ist.

12. Verfahren nach Anspruch 9, worin n gleich 1 bis 5 ist; und m unabhängig ebenfalls gleich 1 bis 5 ist.

13. Verfahren nach Anspruch 9, worin die Desoxy-X'-triphosphate, die während des Schrittes (c) zugesetzt werden, Basen umfassen, die so abgewandelt sind, daß sie einen Marker enthalten, der aus der Gruppe gewählt ist, die aus Markierungen, Ankergruppen und Haptopen bestehen.

14. Verfahren nach Anspruch 9, das den weiteren Schritt des Nachweises der Anwesenheit von vervielfachten Zielsequenzen mittels eines Haptenmarkers umfaßt, der an die 5'-Enden der zweiten und dritten Sonden angebunden ist.

15. Diagnostisches Kit, das folgende Zusammenstellung umfaßt:

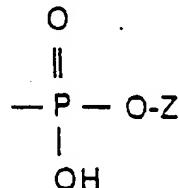
(a) einen Initiator, der entweder aus zwei Sondenpaaren ausgewählt ist, die mit der Sonde hybridisierbar sind, so daß sie bei der LCR (Ligasekettenreaktion, "ligase chain reaction") ligiert zu werden vermögen, oder aus einem Paar von Primern, die mit dem Ziel hybridisierbar sind, so daß sie zum Auslösen der PCR (Polymerasekettenreaktion, "polymerase chain reaction") befähigt sind, wobei wenigstens eine der Sonden oder wenigstens einer der Primer derart abgewandelt ist, daß wenn der Initiator hybridisiert ist, eine Ligase oder Polymerase im wesentlichen unfähig ist, auf den Initiator als ihr Substrat einzuwirken;

(b) ein Zusammenfügsreagenz, das entweder aus einem Ligase- oder Polymeraseenzym gewählt ist, zum Zusammenfügen eines Vervielfachungsproduktes; und

(c) ein Korrekturreagenz, das zur Korrektur der abgewandelten Sonde/des abgewandelten Primers auf eine zielabhängige Weise in der Lage ist, um dem Sonden/Primer-Schablonen-Komplex zu erlauben, der Einwirkung der Ligase oder der Polymerase zu unterliegen;

wobei die Abwandlung eine Blockierungsgruppe umfaßt, die an den Initiator angebunden ist, so daß sie eine chemische Gruppe blockiert, die beim enzymatischen Zusammenfügen benötigt wird.

16. Kit nach Anspruch 15, worin die Blockierungsgruppe folgende Form aufweist:



55

worin Z aus der Gruppe gewählt ist, die aus -H, aus  $-(\text{CH}_2)_n\text{CHO}$ , wobei n gleich 1 bis 3 ist, aus -Desoxyribose, und aus-Didesoxyribose besteht.

**EP 0 439 182 B1**

17. Kit nach Anspruch 15, worin das Korrekturreagenz einen Wirkstoff umfaßt, der aus folgendem gewählt ist:

a. RNAse-H-Enzym;  
b. einer Endonuklease, die einen Nukleinsäurestrang an einer nicht basischen Stelle im wesentlichen nur dann  
5 spaltet, wenn der Strang an einen komplementären Strang hybridisiert ist;  
c. einer Endonuklease IV; und  
d. einem Enzym, das nicht übereinstimmende Paare zu reparieren vermag.

18. Kit nach Anspruch 15, worin wenigstens eine Sonde einen Marker enthält, der aus der Gruppe gewählt ist, die aus  
10 Markierungen, Ankergruppen und Haptopen besteht.

19. Diagnostisches Kit, das folgende Zusammenstellung umfaßt:

15 (a) einen Initiator, der eine erste Sonde umfaßt, die an ein erstes Segment einer Zielnukleinsäure hybridisierbar  
ist, eine zweite Sonde, die an ein zweites Segment des Ziels hybridisierbar ist, und eine dritte Sonde, die an  
die erste Sonde hybridisierbar ist und eine vierte Sonde, die an die zweite Sonde hybridisierbar ist, worin

20 (i) das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch  $X_n$  Basen  
beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei  
der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;  
(ii) die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum  
5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen  
beabstandet ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei  
der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich  
25 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, die  
von dem Nicht-M-und-nicht-N-Satz umfaßt wird, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz  
der  $Y_m$  Basen komplementär ist; und  
(iii) die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem  
Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht;

30 (b) ein Zusammenfügbungsreagenz, das eine Ligase zum Zusammenfügen eines Vervielfachungsproduktes  
umfaßt; und  
(c) ein Korrekturreagenz und Desoxy-X'-triphosphate und wahlweise Desoxy-Y'-triphosphate, wobei X' und  
35 Y' jeweils die Komplemente von X und Y darstellen, um die erste Sonde unter Auffüllung des  $X_n$ -Spaltes zu  
verlängern, um der verlängerten ersten Sonde zu erlauben, an die zweite Sonde ligiert zu werden, und wahl-  
weise, um die vierte Sonde unter Auffüllung des  $Y_m$ -Spaltes zu verlängern, um der verlängerten vierten Sonde  
zu erlauben, an die dritte Sonde ligiert zu werden.

40 20. Kit nach Anspruch 19, worin n gleich 1 bis 5 ist.

40 21. Kit nach Anspruch 19, worin n gleich 1 bis 5 ist; und m unabhängig ebenfalls gleich 1 bis 5 ist.

45 22. Kit nach Anspruch 19, worin wenigstens eine Sonde einen Marker enthält, der aus der Gruppe gewählt ist, die aus  
Markierungen, Ankergruppen und Haptopen besteht.

23. Verfahren zur Unterscheidung einer ersten Zielnukleinsäuresequenz von einer zweiten Nicht-Zielsequenz, die von  
dem Ziel aufgrund der Identität wenigstens einer Base abweicht, wobei das Verfahren folgendes umfaßt:

50 a. Bereitstellen eines Überschusses an einer jeden von vier Sonden, einer ersten Sonde, die zu einem ersten  
Segment des Ziels komplementär ist, einer zweiten Sonde, die zu einem zweiten Segment des Ziels komple-  
mentär ist, einer dritten Sonde, die zu der ersten Sonde komplementär ist, und einer vierten Sonde, die zu  
der zweiten Sonde komplementär ist,

55 (i) wobei das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch  
 $X_n$  Basen beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen  
ein bis drei der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;  
(ii) wobei die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die  
zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen

**EP 0 439 182 B1**

beabstanded ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz der  $Y_m$  Basen komplementär ist; und

5 (iii) wobei die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht; und

(iv) wobei die Nicht-Zielsequenz aufgrund der Identität von wenigstens einer Base, Z, in der  $X_n$ -Region oder der  $Y_m$ -Region abweicht;

10 b. Vereinigen der vier Sonden unter hybridisierenden Bedingungen mit einzelsträngigem Ziel oder mit doppelsträngigem Ziel, das von seinem komplementären Strang getrennt ist;

c. in an das Ziel hybridisiertem Zustand, Verlängern der ersten Sonde zur Auffüllung des  $X_n$ -Spaltes und wahlweise, der vierten Sonde, zur Auffüllung des  $Y_m$ -Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten, wobei X' und Y' jeweils die Komplemente von X und Y auf dem Zielstrang darstellen, jedoch unter Weglassen von Desoxy-Z'-triphosphaten, die zu der einzelnen abweichenden Base in dem Nicht-Zielpalt komplementär sind;

15 d. in an das Ziel hybridisiertem Zustand, Ligieren der verlängerten ersten Sonde an die zweite Sonde, und wahlweise, Ligieren der verlängerten vierten Sonde an die dritte Sonde, unter Ausbildung wenigstens eines doppelsträngigen Komplexes aus ligierter Sonde, die an die Zielsequenz hybridisiert ist; und

20 e. Abtrennen und Nachweisen der richtig verlängerten und ligierten Sonden von den unrichtig verlängerten, unlignierten Sonden.

**24. Verfahren zur Unterscheidung einer ersten Zielnukleinsäuresequenz von einer zweiten Nicht-Zielsequenz, die von dem Ziel aufgrund der Identität wenigstens einer Base abweicht, wobei das Verfahren folgendes umfaßt:**

25 a. Bereitstellen eines Überschusses an einer jeden von vier Sonden, einer ersten Sonde, die zu einem ersten Segment des Ziels komplementär ist, einer zweiten Sonde, die zu einem zweiten Segment des Ziels komplementär ist, einer dritten Sonde, die zu der ersten Sonde komplementär ist, und einer vierten Sonde, die zu der zweiten Sonde komplementär ist,

30 (i) wobei das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch  $X_n$  Basen beabstanded ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;

35 (ii) wobei die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen beabstanded ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz der  $Y_m$  Basen komplementär ist; und

40 (iii) wobei die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht; und

(iv) wobei die Nicht-Zielsequenz aufgrund der Identität von wenigstens einer Base, Z, in der Q-Region abweicht, insofern als daß Z eine Base ist, die im Satz N, im Satz M oder in beiden enthalten ist;

45 b. Vereinigen der vier Sonden unter hybridisierenden Bedingungen mit einzelsträngigem Ziel oder mit doppelsträngigem Ziel, das von seinem komplementären Strang getrennt ist;

c. in an das Ziel hybridisiertem Zustand, Verlängern der ersten Sonde zur Auffüllung des  $X_n$ -Spaltes und wahlweise, der vierten Sonde, zur Auffüllung des  $Y_m$ -Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten, wobei X' und Y' jeweils die Komplemente von X und Y darstellen, wobei an Z keine Beendigung der Verlängerung der ersten und wahlweise der vierten Sonden stattfindet;

50 d. in an das Ziel hybridisiertem Zustand, Ligieren der richtig verlängerten ersten Sonde an die zweite Sonde, und wahlweise, Ligieren der richtig verlängerten vierten Sonde an die dritte Sonde, unter Ausbildung wenigstens eines doppelsträngigen Komplexes aus ligierter Sonde, die an die Zielsequenz hybridisiert ist; und

55 e. Abtrennen und Nachweisen der richtig verlängerten und ligierten Sonden von den unrichtig verlängerten, unlignierten Sonden.

**Patentansprüche für folgende Vertragsstaaten : DK, ES, GR**

5

1. Verfahren zur enzymatischen Vervielfachung einer Nukleinsäure-Zielsequenz zur Erzeugung von Vervielfachungsprodukten, wobei ein Enzym folgendes verwendet: einen Nukleinsäureinitiator; die Zielsequenz oder das Vervielfachungsprodukt als Schablone, an die/das er hybridisiert; und wenigstens einen zusätzlichen nukleosidhaltigen Reaktanten, der enzymatisch unter Ausbildung von Vervielfachungsprodukten zusammengefügt werden kann, die zu dem Ziel komplementär sind, wobei die Vervielfachungsprodukte ihrerseits als weitere Schablonen dienen; wobei das Verfahren dadurch gekennzeichnet ist, daß es folgendes umfaßt:

10

(a) das Bereitsstellen der benötigten Initiatoren, die zur Hybridisierung mit dem Ziel befähigt sind, wobei wenigstens einer der Initiatoren derart abgewandelt ist, daß, wenn der Initiator hybridisiert wird, das Enzym im wesentlichen zur Einwirkung auf den Initiator als sein Substrat unfähig ist, so daß kein Vervielfachungsprodukt zusammengefügt wird;

15

(b) Hybridisieren des Initiators an das Ziel, wenn vorhanden, zur Ausbildung eines Initiator-Schablonen-Komplexes;

(c) Korrigieren der Abwandlung in zielabhängiger Weise, um dem Initiator-Schablonen-Komplex zu erlauben, der Einwirkung durch das Enzym zu unterliegen;

20

(d) enzymatisches Zusammenfügen eines Vervielfachungsproduktes; und

(e) Ablösen des Vervielfachungsproduktes vom Ziel und Wiederholen der Hybridisierung, von Korrektur- und Zusammenfügungsschritten zur Vervielfachung der gewünschten Zielsequenz.

25

2. Verfahren nach Anspruch 1, wobei die Abwandlung wenigstens eines Initiators (Schritt a) eine Blockierungsgruppe umfaßt, die an den Initiator gebunden ist, derart daß sie eine chemische Gruppe blockiert, die beim enzymatischen Zusammenfügen nach Schritt (d) benötigt wird.

30

3. Verfahren nach Anspruch 2, worin die Blockierungsgruppe folgende Struktur aufweist:

O  
||  
— P — O-Z  
|  
OH

35

worin Z aus der Gruppe gewählt ist, die aus -H, aus -(CH<sub>2</sub>)<sub>n</sub>CHO, wobei n gleich 1 bis 3 ist, aus -Desoxyribose und aus-Didesoxyribose besteht.

40

4. Verfahren nach Anspruch 2, worin die Blockierungsgruppe ein Überhang aus Nukleinsäuren ist, vorausgesetzt, daß wenn das enzymatische Zusammenfügen nach Schritt (d) durch Verlängerung stattfindet, der Überhang ein nicht verlängerbares 3'-Ende umfaßt.

45

5. Verfahren nach Anspruch 4, worin der Überhang aus folgendem gewählt ist:

a. Ribonukleotiden, falls der Initiator Desoxyribonukleotide umfaßt;  
b. einem Oligonukleotid, das wenigstens einen nicht basischen Rest aufweist;  
c. einem Oligonukleotid mit einem Rest, der so gewählt ist, daß bei Hybridisierung des abgewandelten Initiators mit einem Zielstrang eine mangelnde Übereinstimmung bei einem Basenpaar resultiert.

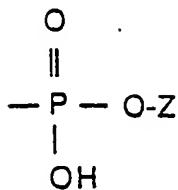
50

6. Verfahren nach Anspruch 2 oder 4, worin die Korrektur der Abwandlung die Verwendung eines Wirkstoffes umfaßt, der aus folgendem gewählt ist:

a. RNase-H-Enzym;  
b. einer Endonuklease, die einen Nukleinsäurestrang an einer nicht basischen Stelle im wesentlichen nur dann spaltet, wenn der Strang an einen komplementären Strang hybridisiert ist;  
c. einer Endonuklease IV; und  
d. einem Enzym, das nicht übereinstimmende Paare zu reparieren vermag.

55

7. Verfahren nach Anspruch 6, worin die Blockierungsgruppe folgende Struktur aufweist:



5

worin Z aus der Gruppe gewählt ist, die aus -H, aus -(CH<sub>2</sub>)<sub>n</sub>CHO, wobei n gleich 1 bis 3 ist, aus -Desoxyribose, und aus-Didesoxyribose besteht; und wobei die Korrektur der Abwandlung die Verwendung einer Endonuklease IV umfaßt.

10

8. Verfahren nach Anspruch 1, worin das Enzym eine Polymerase ist, worin der Initiator einen Primer umfaßt, worin der nukleosidhaltige Reaktant einzelne Nukleosidtriphosphate umfaßt, und worin das Vervielfältigungsprodukt ein Verlängerungsprodukt aus Primer und Nukleosidtriphosphaten umfaßt.

15

9. Verfahren nach Anspruch 1, worin das Enzym eine Ligase ist, worin der Initiator eine erste Oligonukleotidsonde ist, wobei der nukleosidhaltige Reaktant eine zweite Oligonukleotidsonde ist, und wobei das Vervielfachungsprodukt verschmolzene erste und zweite Oligonukleotidsonden umfaßt.

20

10. Verfahren nach Anspruch 8, wobei der/die abgewandelte(n) Initiator(en) nach Schritt (a) eine erste Sonde umfaßt (umfassen), die an ein erstes Segment des Ziels hybridisierbar ist, eine zweite Sonde, die an ein zweites Segment des Ziels hybridisierbar ist, eine dritte Sonde, die an die erste Sonde hybridisierbar ist, und eine vierte Sonde, die an die zweite Sonde hybridisierbar ist, worin die Abwandlung darin besteht, daß

25

(i) das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch X<sub>n</sub> Basen beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;

30

(ii) die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch Y<sub>m</sub> Basen beabstandet ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den X<sub>n</sub>- und Y<sub>m</sub>-Sequenzen unverwendet bleibt, die von dem Nicht-M-und-nicht-N-Satz umfaßt wird, und daß die Sequenz der X<sub>n</sub> Basen nicht zu der Sequenz der Y<sub>m</sub> Basen komplementär ist; und

35

(iii) die Base, die an das 5'-Ende von X<sub>n</sub> und die Base, die an das 5'-Ende von Y<sub>m</sub> angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht;

40

und wobei der Schritt (c) die Verlängerung der ersten Sonde zur Auffüllung des X<sub>n</sub>-Spaltes, und wahlweise der vierten Sonde zur Auffüllung des Y<sub>m</sub>-Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten umfaßt, worin X' und Y' jeweils die Komplemente von X und Y darstellen.

45

11. Verfahren nach Anspruch 10, worin n gleich 1 bis 5 ist.

50

12. Verfahren nach Anspruch 10, worin m gleich 0 ist.

13. Verfahren nach Anspruch 10, worin n gleich 1 bis 5 ist; und m unabhängig ebenfalls gleich 1 bis 5 ist.

55

14. Verfahren nach Anspruch 10, worin die Desoxy-X'-triphosphate, die während des Schrittes (c) zugesetzt werden, Basen umfassen, die so abgewandelt sind, daß sie einen Marker enthalten, der aus der Gruppe gewählt ist, die aus Markierungen, Ankergruppen und Haptopen besteht.

55

15. Verfahren nach Anspruch 10, das den weiteren Schritt des Nachweises der Anwesenheit von vervielfachten Zielsequenzen mittels eines Haptenmarkers umfaßt, der an die 5'-Enden der zweiten und dritten Sonden angebunden ist.

16. Diagnostisches Kit, das folgende Zusammenstellung umfaßt:

**EP 0 439 182 B1**

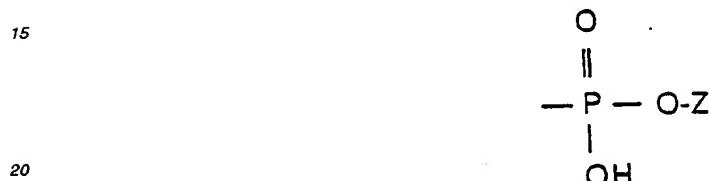
(a) einen Initiator, der entweder aus zwei Sondenpaaren ausgewählt ist, die mit der Sonde hybridisierbar sind, so daß sie bei der LCR (Ligasekettenreaktion, "ligase chain reaction") ligiert zu werden vermögen, oder aus einem Paar von Primern, die mit dem Ziel hybridisierbar sind, so daß sie zum Auslösen der PCR (Polymerasekettenreaktion, "polymerase chain reaction") befähigt sind, wobei wenigstens eine der Sonden oder wenigstens einer der Primer derart abgewandelt ist, daß wenn der Initiator hybridisiert ist, eine Ligase oder Polymerase im wesentlichen unfähig ist, auf den Initiator als ihr Substrat einzuwirken;

5 (b) ein Zusammenfügsreagenz, das entweder aus einem Ligase- oder Polymeraseenzym gewählt ist, zum Zusammenfügen eines Vervielfachungsproduktes; und

(c) ein Korrekturreagenz, das zur Korrektur der abgewandelten Sonde/des abgewandelten Primers auf eine zielabhängige Weise in der Lage ist, um dem Sonden/Primer-Schablonen-Komplex zu erlauben, der Einwirkung der Ligase oder der Polymerase zu unterliegen.

10

**17.** Kit nach Anspruch 16, worin die Blockierungsgruppe folgende Form aufweist:



worin Z aus der Gruppe gewählt ist, die aus -H, aus  $-(\text{CH}_2)_n\text{CHO}$ , wobei n gleich 1 bis 3 ist, aus -Desoxyribose, und aus-Didesoxyribose besteht.

**18.** Kit nach Anspruch 16, worin das Korrekturreagenz einen Wirkstoff umfaßt, der aus folgendem gewählt ist:

25

- a. RNase-H-Enzym;
- b. einer Endonuklease, die einen Nukleinsäurestrang an einer nicht basischen Stelle im wesentlichen nur dann spaltet, wenn der Strang an einen komplementären Strang hybridisiert ist;
- c. einer Endonuklease IV; und
- 30 d. einem Enzym, das nicht übereinstimmendes Paare zu reparieren vermag.

**19.** Kit nach Anspruch 16, worin der Initiator zwei Sondenpaare umfaßt, worin das Zusammenfügsreagenz eine Ligase umfaßt, und worin das Korrekturreagenz eine Polymerase umfaßt.

**20.** Kit nach Anspruch 16, worin wenigstens eine Sonde einen Marker enthält, der aus der Gruppe gewählt ist, die aus Markierungen, Ankergruppen und Hapteten besteht.

**21.** Verfahren zur Unterscheidung einer ersten Zielnukleinsäuresequenz von einer zweiten Nicht-Zielsequenz, die von dem Ziel aufgrund der Identität wenigstens einer Base abweicht, wobei das Verfahren folgendes umfaßt:

40

- a. Bereitstellen eines Überschusses an einer jeden von vier Sonden, einer ersten Sonde, die zu einem ersten Segment des Ziels komplementär ist, einer zweiten Sonde, die zu einem zweiten Segment des Ziels komplementär ist, einer dritten Sonde, die zu der ersten Sonde komplementär ist, und einer vierten Sonde, die zu der zweiten Sonde komplementär ist,

45

  - (i) wobei das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch  $X_n$  Basen beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;
  - (ii) wobei die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen beabstandet ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz der  $Y_m$  Basen komplementär ist; und

50

  - (iii) wobei die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht; und
  - (iv) wobei die Nicht-Zielsequenz aufgrund der Identität von wenigstens einer Base, Z, in der  $X_n$ -Region

oder der  $Y_m$ -Region abweicht;

b. Vereinigen der vier Sonden unter hybridisierenden Bedingungen mit einzelsträngigem Ziel oder mit doppelsträngigem Ziel, das von seinem komplementären Strang getrennt ist;

5 c. in an das Ziel hybridisiertem Zustand, Verlängern der ersten Sonde zur Auffüllung des  $X_n$ -Spaltes und wahlweise, der vierten Sonde, zur Auffüllung des  $Y_m$ -Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten, wobei X' und Y' jeweils die Komplemente von X und Y auf dem Zielstrang darstellen, jedoch unter Weglassen von Desoxy-Z'-triphosphaten, die zu der einzelnen abweichenden Base in dem Nicht-Zielspalt komplementär sind;

10 d. in an das Ziel hybridisiertem Zustand, Ligieren der verlängerten ersten Sonde an die zweite Sonde, und wahlweise, Ligieren der verlängerten vierten Sonde an die dritte Sonde, unter Ausbildung wenigstens eines doppelsträngigen Komplexes aus ligierter Sonde, die an die Zielsequenz hybridisiert ist; und

e. Abtrennen und Nachweisen der richtig verlängerten und ligierten Sonden von den unrichtig verlängerten, unligierten Sonden.

15 22. Verfahren zur Unterscheidung einer ersten Zielnukleinsäuresequenz von einer zweiten Nicht-Zielsequenz, die von dem Ziel aufgrund der Identität wenigstens einer Base abweicht, wobei das Verfahren folgendes umfaßt:

a. Bereitstellen eines Überschusses an einer jeden von vier Sonden, einer ersten Sonde, die zu einem ersten Segment des Ziels komplementär ist, einer zweiten Sonde, die zu einem zweiten Segment des Ziels komplementär ist, einer dritten Sonde, die zu der ersten Sonde komplementär ist, und einer vierten Sonde, die zu der zweiten Sonde komplementär ist,

20 (i) wobei das 5'-Ende des ersten Segmentes des Ziels von dem 3'-Ende des zweiten Segmentes durch  $X_n$  Basen beabstandet ist, wobei jedes X unabhängig aus einem Satz N gewählt ist, der aus beliebigen ein bis drei der vier Basen besteht, und wobei n eine beliebige ganze Zahl ist, die größer oder gleich 1 ist;

(ii) wobei die dritte Sonde an die erste Sonde hybridisiert, derart, daß die Base auf der ersten Sonde, die zum 5'-Ende auf der dritten Sonde komplementär ist, von dem 3'-Ende der ersten Sonde durch  $Y_m$  Basen beabstandet ist, wobei jedes Y unabhängig aus einem Satz M gewählt ist, der aus beliebigen null bis drei der vier Basen besteht, und wobei m gleich Null oder eine beliebige ganze Zahl ist, die größer oder gleich 1 ist, vorausgesetzt, daß wenigstens eine Base in den  $X_n$ - und  $Y_m$ -Sequenzen unverwendet bleibt, und daß die Sequenz der  $X_n$  Basen nicht zu der Sequenz der  $Y_m$  Basen komplementär ist; und

30 (iii) wobei die Base, die an das 5'-Ende von  $X_n$  und die Base, die an das 5'-Ende von  $Y_m$  angrenzt, aus einem Satz Q gewählt sind, der aus Nicht-N-und-nicht-M besteht; und

(iv) wobei die Nicht-Zielsequenz aufgrund der Identität von wenigstens einer Base, Z, in der Q-Region abweicht, insofern als daß Z eine Base ist, die im Satz N, im Satz M oder in beiden enthalten ist;

35 b. Vereinigen der vier Sonden unter hybridisierenden Bedingungen mit einzelsträngigem Ziel oder mit doppelsträngigem Ziel, das von seinem komplementären Strang getrennt ist;

c. in an das Ziel hybridisiertem Zustand, Verlängern der ersten Sonde zur Auffüllung des  $X_n$ -Spaltes und wahlweise, der vierten Sonde, zur Auffüllung des  $Y_m$ -Spaltes unter Verwendung eines Überschusses an Desoxy-X'-triphosphaten und Desoxy-Y'-triphosphaten, wobei X' und Y' jeweils die Komplemente von X und Y darstellen, wobei an Z keine Beendigung der Verlängerung der ersten und wahlweise der vierten Sonden stattfindet;

40 d. in an das Ziel hybridisiertem Zustand, Ligieren der richtig verlängerten ersten Sonde an die zweite Sonde, und wahlweise, Ligieren der richtig verlängerten vierten Sonde an die dritte Sonde, unter Ausbildung wenigstens eines doppelsträngigen Komplexes aus ligierter Sonde, die an die Zielsequenz hybridisiert ist; und

e. Abtrennen und Nachweisen der richtig verlängerten und ligierten Sonden von den unrichtig verlängerten, unligierten Sonden.

50

#### Revendications

55 Revendications pour les Etats contractants suivants : AT, BE, CH, DE, FR, GB, IT, LI, NL, SE

1. Procédé d'amplification d'une séquence d'acide nucléique cible par voie enzymatique, permettant d'obtenir des produits d'amplification, dans lequel une enzyme utilise : un initiateur d'acide nucléique ; la séquence cible ou le

EP 0 439 182 B1

produit d'amplification auquel elle s'hybride et qui sert de matrice ; et au moins un réactif supplémentaire contenant des nucléosides qui peuvent s'assembler par voie enzymatique pour former des produits d'amplification complémentaires de la cible, les produits d'amplification servant eux-mêmes de matrices par la suite ; ledit procédé étant caractérisé en ce qu'il comprend les étapes consistant à :

5

- (a) fournir des initiateurs nécessaires capables de s'hybrider avec la cible, au moins un des initiateurs étant modifié afin que, lorsque l'initiateur est hybridé, l'enzyme soit pratiquement incapable d'agir sur l'initiateur pour en faire son substrat, de telle sorte que le produit d'amplification ne soit pas assemblé ;
- (b) hybrider l'initiateur à la cible, si elle est présente, pour former un complexe initiateur-matrice ;
- 10 (c) corriger la modification d'une manière qui dépend de la cible pour permettre l'action de l'enzyme sur le complexe initiateur-matrice ;
- (d) assembler par voie enzymatique un produit d'amplification ; et
- (e) dissocier le produit d'amplification de la cible et répéter les étapes d'hybridation, de correction et d'assemblage pour amplifier la séquence cible désirée ;

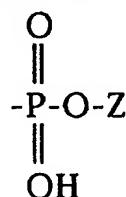
15

dans lequel la modification est constituée d'un fragment bloquant attaché audit initiateur de telle sorte qu'il bloque un groupement chimique nécessaire à l'assemblage enzymatique de l'étape d.

20

2. Procédé de la revendication 1, dans lequel le fragment bloquant a la forme suivante :

25



dans laquelle Z est choisi dans le groupe constitué de -H ; -(CH<sub>2</sub>)<sub>n</sub>CHO, dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et didésoxyribose.

30

3. Procédé de la revendication 1, dans lequel ledit fragment bloquant est une partie saillante d'acide nucléique, à la condition que si l'assemblage enzymatique de l'étape d s'effectue par extension, la partie saillante comprenne une extrémité terminale 3' ne pouvant être prolongée.

35

4. Procédé de la revendication 3, dans lequel ladite partie saillante est choisie parmi :

- a. des ribonucléotides lorsque ledit initiateur comprend des désoxyribonucléotides ;
- b. un oligonucléotide comprenant au moins un résidu abasique ; et
- c. un oligonucléotide comprenant un résidu choisi de telle sorte que, après hybridation de l'initiateur modifié avec un brin cible, on obtienne une paire de bases dont les bases appariées sont non complémentaires.

40

5. Procédé de la revendication 1 ou de la revendication 3, dans lequel ladite correction de la modification comprend l'utilisation d'un agent choisi parmi :

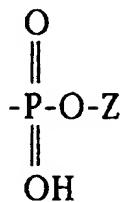
45

- a. une enzyme RNase H ;
- b. une endonucléase qui ne coupe un brin d'acide nucléique en un site abasique pratiquement que lorsque le brin est hybridé à un brin complémentaire ;
- c. l'endonucléase IV ; et
- d. une enzyme de réparation des paires de bases non complémentaires.

50

6. Procédé de la revendication 1, dans lequel le fragment bloquant a la forme suivante :

55



10 dans laquelle Z est choisi dans le groupe constitué de -H ; -(CH<sub>2</sub>)<sub>n</sub>CHO, dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et -didésoxyribose ; et dans lequel ladite correction de la modification comprend l'utilisation d'une endonucléase IV.

15 7. Procédé de la revendication 1, dans lequel l'enzyme est une polymérase, l'initiateur comprend une amorce, le réactif contenant des nucléosides comprend des nucléoside-triphosphates individuels, et le produit d'amplification comprend un produit d'extension produit à partir d'une amorce et de nucléoside-triphosphates.

20 8. Procédé de la revendication 1, dans lequel l'enzyme est une ligase, l'initiateur est une première sonde oligonucléotidique, le réactif contenant des nucléosides est une seconde sonde oligonucléotidique, et le produit d'amplification est constituée d'une première et d'une seconde sondes oligonucléotidiques fusionnées.

25 9. Procédé d'amplification d'une séquence d'acide nucléique cible par voie enzymatique permettant d'obtenir des produits d'amplification, dans lequel une enzyme utilise : un initiateur d'acide nucléique ; la séquence cible ou le produit d'amplification auquel elle s'hybride et qui sert de matrice ; et au moins un réactif supplémentaire contenant des nucléosides qui peuvent s'assembler par voie enzymatique pour former des produits d'amplification complémentaires de la cible, les produits d'amplification servant eux-mêmes de matrices par la suite ; ledit procédé étant caractérisé en ce qu'il comprend les étapes consistant à :

30 (a) fournir des initiateurs nécessaires capables de s'hybrider avec la cible, au moins un des initiateurs étant modifié afin que, lorsque l'initiateur est hybridé, l'enzyme soit pratiquement incapable d'agir sur l'initiateur pour en faire son substrat, de telle sorte que le produit d'amplification ne soit pas assemblé pas ;  
 (b) hybridier l'initiateur à la cible, si elle est présente, pour former un complexe initiateur-matrice ;  
 (c) corriger la modification d'une manière qui dépend de la cible pour permettre l'action de l'enzyme sur le complexe initiateur-matrice ;  
 35 (d) assembler par voie enzymatique un produit d'amplification ; et  
 (e) dissocier le produit d'amplification de la cible et répéter les étapes d'hybridation, de correction et d'assemblage pour amplifier la séquence cible désirée ;

40 dans lequel le ou les initiateurs de l'étape (a) comprennent une première sonde hybridable à un premier segment de la cible, une seconde sonde hybridable à un second segment de la cible, une troisième sonde hybridable à la première sonde et une quatrième sonde hybridable à la seconde sonde, dans lequel, en tant que modification :

45 (i) l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de X<sub>n</sub> bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant un nombre entier supérieur ou égal à 1 ;  
 (ii) la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de Y<sub>m</sub> bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences X<sub>n</sub> et Y<sub>m</sub> pour constituer l'ensemble non N et non M, et que la séquence de X<sub>n</sub> bases ne soit pas complémentaire de la séquence de Y<sub>m</sub> bases ; et  
 (iii) la base adjacente à l'extrémité 5' de X<sub>n</sub> et la base adjacente à l'extrémité 5' de Y<sub>m</sub> sont choisies dans un ensemble Q qui est constitué de non N et non M ;

55 et dans lequel ladite étape (c) consiste à prolonger la première sonde afin de remplir la brèche X<sub>n</sub>, et éventuellement la quatrième sonde pour remplir la brèche Y<sub>m</sub>, en utilisant un excès de désoxyX'-triphosphates et désoxyY'-triphosphate, dans lesquels X' et Y' représentent les compléments de X et Y respectivement ; et dans lequel l'enzyme

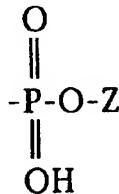
utilisée dans l'étape d'assemblage (d) est une ligase.

10. Procédé de la revendication 9, dans lequel n est compris entre 1 et 5.
- 5 11. Procédé de la revendication 9, dans lequel m vaut 0.
12. Procédé de la revendication 9, dans lequel n est compris entre 1 et 5; et m est indépendamment également compris entre 1 et 5.
- 10 13. Procédé de la revendication 9, dans lequel les désoxyX'-triphosphates ajoutés au cours de l'étape c comprennent des bases modifiées de façon à contenir un marqueur choisi dans le groupe constitué de marqueurs, crochets et haptènes.
14. Procédé de la revendication 9, comprenant l'étape supplémentaire de détection de la présence de séquences cibles amplifiées au moyen d'un marqueur haptène attaché aux extrémités 5' des seconde et troisième sondes.
- 15 15. Nécessaire de diagnostic comprenant en combinaison :

- 20 (a) un initiateur choisi parmi deux paires de sondes hybridables avec une cible de telle sorte qu'elles soient capables d'être liées en LCR, ou une paire d'amorces hybridables avec une cible de telle sorte qu'elles soient capables d'initier une PCR, au moins une des sondes ou amorces étant modifiée de façon à ce que, lorsque l'initiateur est hybridé, une ligase ou une polymérase soit pratiquement incapable d'agir sur l'initiateur pour en faire son substrat ;
- 25 (b) un réactif d'assemblage choisi parmi une enzyme de type ligase ou polymérase pour l'assemblage d'un produit d'amplification ; et
- (c) un réactif de correction capable de corriger la sonde/amorce modifiée d'une manière qui dépend de la cible pour permettre l'action de la ligase ou de la polymérase sur le complexe sonde/amorce-matrice ;

30 dans lequel la modification comprend un fragment bloquant attaché audit initiateur de telle sorte qu'il bloque un groupement chimique nécessaire pour l'assemblage enzymatique.

16. Nécessaire de la revendication 15, dans lequel le fragment bloquant a la forme suivante :



dans laquelle Z est choisi dans le groupe constitué de -H ; -(CH<sub>2</sub>)<sub>n</sub>CHO, dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et -didésoxyribose.

- 45 17. Nécessaire de la revendication 15, dans lequel le réactif de correction comprend un agent choisi parmi :

- a. une enzyme RNase H ;
- b. une endonucléase qui ne coupe un brin d'acide nucléique en un site abasique pratiquement que lorsque le brin est hybridé à un brin complémentaire ;
- 50 c. une endonucléase IV ; et
- d. une enzyme pour réparation des paires de bases non complémentaires.

18. Nécessaire de la revendication 15, dans lequel au moins une sonde contient un marqueur choisi dans le groupe constitué de marqueurs, crochets et haptènes.

- 55 19. Nécessaire de diagnostic comprenant en combinaison

- (a) un initiateur comprenant une première sonde hybridable à un premier segment de la cible, une seconde

sonde hybridable un second segment de la cible, une troisième sonde hybridable à la première sonde et une quatrième sonde hybridable à la seconde sonde ; dans lequel

- 5                   (i) l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de  $X_n$  bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant un nombre entier supérieur ou égal à 1 ;
- 10                  (ii) la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de  $Y_m$  bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences  $X_n$  et  $Y_m$  pour constituer l'ensemble non N et non M, et que la séquence de  $X_n$  bases ne soit pas complémentaire de la séquence de  $Y_m$  bases, et
- 15                  (iii) la base adjacente à l'extrémité 5' de  $X_n$  et la base adjacente à l'extrémité 5' de  $Y_m$  sont choisies dans un ensemble Q qui est constitué de non N et non M ;
- 20                  (b) un réactif d'assemblage comprenant une ligase pour l'assemblage d'un produit d'amplification ; et
- 25                  (c) un réactif de correction et des désoxyX'-triphosphates et éventuellement des désoxyY'-triphosphates, dans lesquels X' et Y' représentent les compléments de X et Y, respectivement, pour prolonger la première sonde pour remplir la brèche brèche  $X_n$  afin de permettre la liaison de la première sonde prolongée à la seconde sonde et éventuellement de prolonger la quatrième sonde pour remplir la brèche  $Y_m$  afin de permettre la liaison de la quatrième sonde prolongée à la troisième sonde.

20. Nécessaire de la revendication 19, dans lequel n est compris entre 1 et 5.

25                  21. Nécessaire de la revendication 19, dans lequel n est compris entre 1 et 5 ; et m est indépendamment également compris entre 1 et 5.

30                  22. Nécessaire de la revendication 19, dans lequel au moins une sonde contient un marqueur choisi dans le groupe constitué de marqueurs, crochets et haptènes.

35                  23. Procédé permettant de distinguer une première séquence d'acide nucléique cible d'une seconde séquence d'acide nucléique non cible qui diffère de la cible par l'identité d'au moins une base, ledit procédé comprenant les étapes consistant à :

- a. fournir un excès de chacune des quatre sondes, une première sonde complémentaire d'un premier segment de la cible, une seconde sonde complémentaire d'un second segment de la cible, une troisième sonde complémentaire de la première sonde et une quatrième sonde complémentaire de la seconde sonde,
- 40                  (i) dans lequel l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de  $X_n$  bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant tout nombre entier supérieur ou égal à 1 ;
- 45                  (ii) dans lequel la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de  $Y_m$  bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences  $X_n$  et  $Y_m$ , et que la séquence de  $X_n$  bases ne soit pas complémentaire de la séquence de  $Y_m$  bases ;
- 50                  (iii) dans lequel la base adjacente à l'extrémité 5' de  $X_n$  et la base adjacente à l'extrémité 5' de  $Y_m$  sont choisies dans un ensemble Q qui est constitué de non N et non M ; et
- 55                  (iv) dans lequel la séquence non cible diffère par l'identité d'au moins une base, Z, dans la région  $X_n$  ou la région  $Y_m$  ;
- b. combiner lesdites quatre sondes dans des conditions d'hybridation avec une cible monocaténaire ou une cible bicaténaire séparée de son brin complémentaire ;
- c. une fois hybridée à la cible, prolonger la première sonde pour remplir la brèche  $X_n$ , et éventuellement la quatrième sonde pour remplir la brèche  $Y_m$ , en utilisant un excès de désoxyX'-triphosphates et de désoxyY'-triphosphates, dans lesquels X' et Y' représentent les compléments de X et Y, respectivement, sur le brin cible,

mais en omettant les désoxyZ'-triphosphates complémentaires de la seule base différente dans la brèche non cible.

d. une fois hybridée à la cible, lier la première sonde prolongée à la seconde sonde, et éventuellement lier la quatrième sonde à la troisième sonde, pour former au moins un complexe bicaténaire de sonde liée hybridée à la séquence cible ; et

e. séparer et détecter les sondes correctement prolongées et liées des sondes incorrectement prolongées et non liées.

**24.** Procédé permettant de distinguer une première séquence d'acide nucléique cible d'une seconde séquence d'acide nucléique non cible qui diffère de la cible par l'identité d'au moins une base, ledit procédé comprenant les étapes consistant à :

a. fournir un excès de chacune des quatre sondes, une première sonde complémentaire d'un premier segment de la cible, une seconde sonde complémentaire d'un second segment de la cible, une troisième sonde complémentaire de la première sonde et une quatrième sonde complémentaire de la seconde sonde,

(i) dans lequel l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de  $X_n$  bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant un nombre entier supérieur ou égal à 1 ;

(ii) dans lequel la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de  $Y_m$  bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences  $X_n$  et  $Y_m$ , et que la séquence de  $X_n$  bases ne soit pas complémentaire de la séquence de  $Y_m$  bases ;

(iii) dans lequel la base adjacente à l'extrémité 5' de  $X_n$  et la base adjacente à l'extrémité 5' de  $Y_m$  sont choisies dans un ensemble Q qui est constitué de non N et non M ; et

(iv) dans lequel la séquence non cible diffère par l'identité d'au moins une base, Z, dans la région Q de telle sorte que Z soit une base contenue dans l'ensemble N, l'ensemble M ou les deux ;

b. combiner lesdites quatre sondes dans des conditions d'hybridation avec une cible monocaténaire ou une cible bicaténaire séparée de son brin complémentaire ;

c. une fois hybridée à la cible, prolonger la première sonde pour remplir la brèche  $X_n$ , et éventuellement la quatrième sonde pour remplir la brèche  $Y_m$ , en utilisant un excès de désoxyX'-triphosphates et de désoxyY'-triphosphates, dans lesquels X' et Y' représentent les compléments de X et Y, respectivement, Z ne pouvant terminer l'extension de la première et de l'éventuelle quatrième sondes.

d. une fois hybridée à la cible, lier la première sonde correctement prolongée à la seconde sonde, et éventuellement lier la quatrième sonde correctement prolongée à la troisième sonde, pour former au moins un complexe bicaténaire de sonde liée hybridée à la séquence cible ; et

e. séparer et détecter les sondes correctement prolongées et liées des sondes incorrectement prolongées et non liées.

#### Revendications pour les Etats contractants suivants : DK, ES, GR

**1.** Procédé d'amplification d'une séquence d'acide nucléique cible par voie enzymatique permettant d'obtenir des produits d'amplification, dans lequel une enzyme utilise : un initiateur d'acide nucléique ; la séquence cible ou le produit d'amplification auquel elle s'hybride et qui sert matrice ; et au moins un réactif supplémentaire contenant des nucléosides qui peuvent s'assembler par voie enzymatique pour former des produits d'amplification complémentaires de la cible, les produits d'amplification servant eux-mêmes de matrices par la suite ; ledit procédé étant caractérisé en ce qu'il comprend les étapes consistant à :

(a) fournir des initiateurs nécessaires capables de s'hybrider avec la cible, au moins un des initiateurs étant modifié afin que, lorsque l'initiateur est hybridé, l'enzyme soit pratiquement incapable d'agir sur l'initiateur pour en faire son substrat, de telle sorte que le produit d'amplification ne soit pas assemblé ;

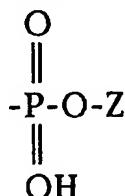
(b) hybrider l'initiateur à la cible, si elle est présente, pour former un complexe initiateur-matrice ;

(c) corriger la modification d'une manière qui dépend de la cible pour permettre l'action de l'enzyme sur le complexe initiateur-matrice ;

(d) assembler par voie enzymatique un produit d'amplification ; et  
 (e) dissocier le produit d'amplification de la cible et répéter les étapes d'hybridation, de correction et d'assemblage pour amplifier la séquence cible désirée.

5    2. Procédé de la revendication 1, dans lequel ladite modification d'au moins un initiateur (étape a) est constituée d'un fragment bloquant attaché audit initiateur de telle sorte qu'il bloque un groupement chimique nécessaire à l'assemblage enzymatique de l'étape d.

10    3. Procédé de la revendication 2, dans lequel le fragment bloquant a la forme suivante :



15    dans laquelle Z est choisi dans le groupe constitué de -H ;  $-(\text{CH}_2)_n\text{CHO}$ , dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et didésoxyribose.

20    4. Procédé de la revendication 2, dans lequel ledit fragment bloquant est une partie saillante d'acide nucléique, à la condition que si l'assemblage enzymatique de l'étape d s'effectue par extension, la partie saillante comprenne une extrémité terminale 3' ne pouvant être prolongée.

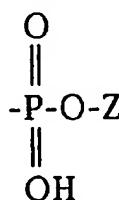
25    5. Procédé de la revendication 4, dans lequel ladite partie saillante est choisie parmi :

a. des ribonucléotides lorsque ledit initiateur comprend des désoxyribonucléotides ;  
 b. un oligonucléotide comprenant au moins un résidu abasique ; et  
 c. un oligonucléotide comprenant un résidu choisi de telle sorte que, après hybridation de l'initiateur modifié avec un brin cible, on obtienne une paire de bases non complémentaires.

30    6. Procédé de la revendication 2 ou de la revendication 4, dans lequel ladite correction de la modification comprend l'utilisation d'un agent choisi parmi :

35    a. une enzyme RNase H ;  
 b. une endonucléase qui ne coupe un brin d'acide nucléique en un site abasique pratiquement que lorsque le brin est hybridé à un brin complémentaire ;  
 c. une endonucléase IV ; et  
 d. une enzyme de réparation des paires de bases non complémentaires.

40    7. Procédé de la revendication 6, dans lequel le fragment bloquant a la forme suivante :



45    dans laquelle Z est choisi dans le groupe constitué de -H ;  $-(\text{CH}_2)_n\text{CHO}$ , dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et -didésoxyribose ; et dans lequel ladite correction de la modification comprend l'utilisation d'une endonucléase IV.

50    8. Procédé de la revendication 1, dans lequel l'enzyme est une polymérase, l'initiateur comprend une amorce, le réactif contenant des nucléosides comprend des nucléoside-triphosphates individuels, et le produit d'amplification comprend un produit d'extension produit à partir d'une amorce et de nucléoside-triphosphates.

55    9. Procédé de la revendication 1, dans lequel l'enzyme est une ligase, l'initiateur est une première sonde oligonucléotidique, le réactif contenant des nucléosides est une seconde sonde oligonucléotidique, et le produit d'ampli-

fication est constitué d'une première et d'une seconde sondes oligonucléotidiques fusionnées.

5 10. Procédé de la revendication 8 dans lequel le ou les initiateurs modifiés de l'étape (a) comprennent une première sonde hybrideable à un premier segment de la cible, une seconde sonde hybrideable à un second segment de la cible, une troisième sonde hybrideable à la première sonde et une quatrième sonde hybrideable à une seconde sonde, dans lequel, en tant que modification :

10 (i) l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de  $X_n$  bases, chacune des  $X$  étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et  $n$  étant un nombre entier supérieur ou égal à 1 ;

15 (ii) la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de  $Y_m$  bases, chacune des  $Y$  étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et  $m$  étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences  $X_n$  et  $Y_m$  pour constituer l'ensemble non N et non M, et que la séquence de  $X_n$  bases ne soit pas complémentaire de la séquence de  $Y_m$  bases ; et

20 (iii) la base adjacente à l'extrémité 5' de  $X_n$  et la base adjacente à l'extrémité 5' de  $Y_m$  sont choisies dans un ensemble Q qui est constitué de non N et non M ;

25 et dans lequel ladite étape (c) consiste à prolonger la première sonde afin de remplir la brèche  $X_n$ , et éventuellement la quatrième sonde pour remplir la brèche  $Y_m$ , en utilisant un excès de désoxyX'-triphosphates et désoxyY'-triphosphate, dans lesquels X' et Y' représentent les compléments de X et Y respectivement.

30 11. Procédé de la revendication 10, dans lequel n est compris entre 1 et 5.

25 12. Procédé de la revendication 10, dans lequel m vaut 0.

30 13. Procédé de la revendication 10, dans lequel n est compris entre 1 et 5 ; et m est indépendamment également compris entre 1 et 5.

35 14. Procédé de la revendication 10, dans lequel les désoxyX'-triphosphates ajoutés au cours de l'étape c comprennent des bases modifiées de façon à contenir un marqueur choisi dans le groupe constitué de marqueurs, crochets et haptènes.

40 15. Procédé de la revendication 10, comprenant l'étape supplémentaire de détection de la présence de séquences cibles amplifiées au moyen d'un marqueur haptène attaché aux extrémités 5' des seconde et troisième sondes.

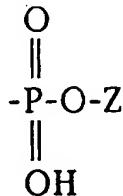
45 16. Nécessaire de diagnostic comprenant en combinaison :

(a) un initiateur choisi parmi deux paires de sondes hybrideables avec une cible de telle sorte qu'elles soient capables d'être liées en LCR, ou une paire d'amorces hybrideables avec une cible de telle sorte qu'elles soient capables d'initier une PCR, au moins une des sondes ou amorces étant modifiée de façon à ce que, lorsque l'initiateur est hybride, une ligase ou une polymérase soit pratiquement incapable d'agir sur l'initiateur pour en faire son substrat;

(b) un réactif d'assemblage choisi parmi une enzyme de type ligase ou polymérase pour l'assemblage d'un produit d'amplification ; et

(c) un réactif de correction capable de corriger la sonde/amorce modifiée d'une manière qui dépend de la cible pour permettre l'action de la ligase ou de la polymérase sur le complexe sonde/amorce-matrice.

50 17. Nécessaire de la revendication 15, dans lequel le fragment bloquant a la forme suivante :



10 dans laquelle Z est choisi dans le groupe constitué de -H ; -(CH<sub>2</sub>)<sub>n</sub>CHO, dans lequel n est compris entre 1 et 3 ; -désoxyribose ; et -didésoxyribose.

18. Nécessaire de la revendication 16, dans lequel le réactif de correction comprend un agent choisi parmi :

15 a. une enzyme RNase H ;  
 b. une endonucléase qui ne coupe un brin d'acide nucléique en un site abasique pratiquement que lorsque le brin est hybridé à un brin complémentaire ;  
 c. une endonucléase IV ; et  
 d. une enzyme de réparation de paires de bases non complémentaires.

20 19. Nécessaire de la revendication 16, dans lequel l'initiateur est constitué de deux paires de sondes, le réactif d'assemblage est constitué d'une ligase, et le réactif de correction est constitué d'une polymérase.

25 20. Nécessaire de la revendication 16, dans lequel au moins une sonde contient un marqueur choisi dans le groupe constitué de marqueurs, crochets et haptènes.

21. Procédé permettant de distinguer une première séquence d'acide nucléique cible d'une seconde séquence d'acide nucléique non cible qui diffère de la cible par l'identité d'au moins une base, ledit procédé comprenant les étapes consistant à :

30 a. fournir un excès de chacune des quatre sondes, une première sonde complémentaire d'un premier segment de la cible, une seconde sonde complémentaire d'un second segment de la cible, une troisième sonde complémentaire de la première sonde et une quatrième sonde complémentaire de la seconde sonde,

35 (i) dans lequel l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de X<sub>n</sub> bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant tout nombre entier supérieur ou égal à 1 ;

40 (ii) dans lequel la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde qui est complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de Y<sub>m</sub> bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences X<sub>n</sub> et Y<sub>m</sub>, et que la séquence de X<sub>n</sub> bases ne soit pas complémentaire de la séquence de Y<sub>m</sub> bases ;

45 (iii) dans lequel la base adjacente à l'extrémité 5' de X<sub>n</sub> et la base adjacente à l'extrémité 5' de Y<sub>m</sub> sont choisies dans un ensemble Q qui est constitué de non N et non M ; et

(iv) dans lequel la séquence non cible diffère par l'identité d'au moins une base, Z, dans la région X<sub>n</sub> ou la région Y<sub>m</sub> ;

50 b. combiner lesdites quatre sondes dans des conditions d'hybridation avec une cible monocaténaire ou une cible bicaténaire séparée de son brin complémentaire ;

c. une fois hybridée à la cible, prolonger la première sonde pour remplir la brèche X<sub>n</sub>, et éventuellement la quatrième sonde pour remplir la brèche Y<sub>m</sub>, en utilisant un excès de désoxyX'-triphosphates et de désoxyY'-triphosphates, dans lesquels X' et Y' représentent les compléments de X et Y, respectivement, sur le brin cible, mais en omettant les désoxyZ'-triphosphates complémentaires de la seule base différente dans la brèche non cible ;

55 d. une fois hybridée à la cible, lier la première sonde prolongée à la seconde sonde, et éventuellement à lier la quatrième sonde prolongée à la troisième sonde, pour former au moins un complexe bicaténaire de sonde liée hybridée à la séquence cible ; et

e. séparer et détecter les sondes correctement prolongées et liées des sondes incorrectement prolongées et non liées.

22. Procédé permettant de distinguer une première séquence d'acide nucléique cible d'une seconde séquence d'acide nucléique non cible qui diffère de la cible par l'identité d'au moins une base, ledit procédé comprenant les étapes consistant à :

a. fournir un excès de chacune des quatre sondes, une première sonde complémentaire d'un premier segment de la cible, une seconde sonde complémentaire d'un second segment de la cible, une troisième sonde complémentaire de la première sonde et une quatrième sonde complémentaire de la seconde sonde,

(i) dans lequel l'extrémité 5' du premier segment de la cible est distante de l'extrémité 3' du second segment de  $X_n$  bases, chacune des X étant indépendamment choisie dans un ensemble N constitué de une à trois quelconques des quatre bases, et n étant un nombre entier supérieur ou égal à 1 ;

(ii) dans lequel la troisième sonde s'hybride à la première sonde, de telle sorte que la base sur la première sonde complémentaire de l'extrémité 5' de la troisième sonde soit distante de l'extrémité 3' de la première sonde de  $Y_m$  bases, chacune des Y étant indépendamment choisie dans un ensemble M constitué de zéro à trois quelconques des quatre bases, et m étant égal à 0 ou à tout nombre entier supérieur ou égal à 1, à condition qu'au moins une des bases ne soit pas utilisée dans les séquences  $X_n$  et  $Y_m$ , et que la séquence de  $X_n$  bases ne soit pas complémentaire de la séquence de  $Y_m$  bases ;

(iii) dans lequel la base adjacente à l'extrémité 5' de  $X_n$  et la base adjacente à l'extrémité 5' de  $Y_m$  sont choisies dans un ensemble Q qui est constitué de non N et non M ; et

(iv) dans lequel la séquence non cible diffère par l'identité d'au moins une base, Z, dans la région Q de telle sorte que Z soit une base contenue dans l'ensemble N, l'ensemble M ou les deux ;

b. combiner lesdites quatre sondes dans des conditions d'hybridation avec une cible monocaténaire ou une cible bicaténaire séparée de son brin complémentaire ;

c. une fois hybridée à la cible, prolonger la première sonde pour remplir la brèche  $X_n$ , et éventuellement la quatrième sonde pour remplir la brèche  $Y_m$ , en utilisant un excès de désoxyX'-triphosphates et de désoxyY'-triphosphates, dans lesquels X' et Y' représentent les compléments de X et Y, respectivement, Z ne pouvant terminer l'extension de la première et de l'éventuelle quatrième sonde.

d. une fois hybridée à la cible, lier la première sonde correctement prolongée à la seconde sonde, et éventuellement lier la quatrième sonde correctement prolongée à la troisième sonde, pour former au moins un complexe bicaténaire de sonde liée hybride à la séquence cible ; et

e. séparer et détecter les sondes correctement prolongées et liées des sondes incorrectement prolongées et non liées.

40

45

50

55

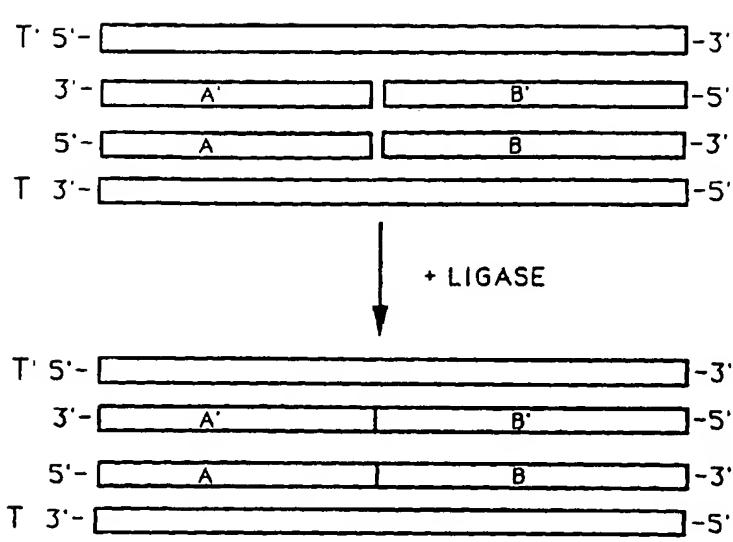


FIG. 1  
(Prior Art)

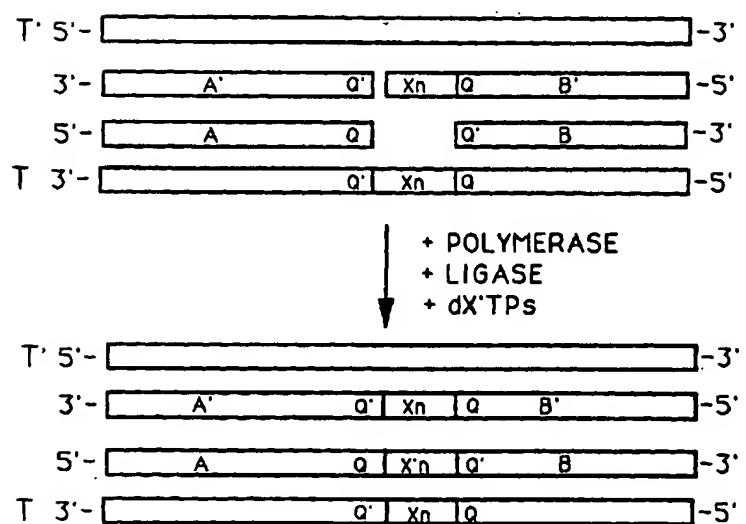
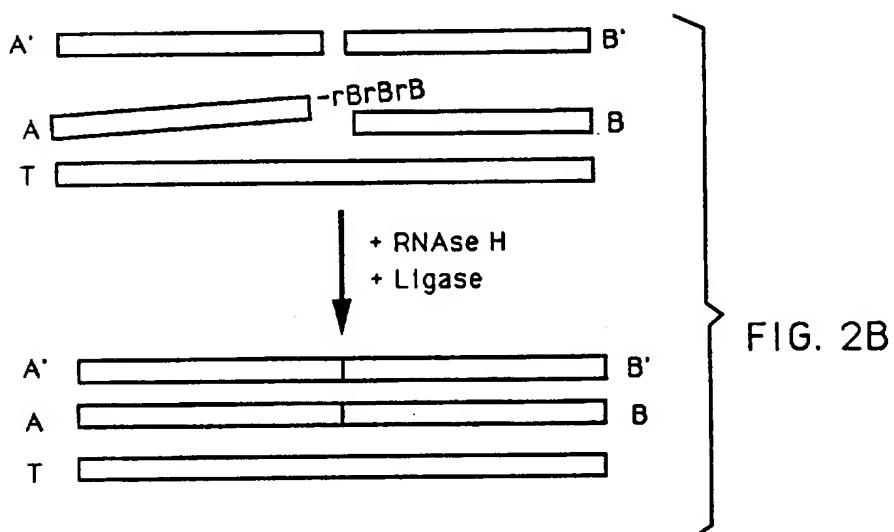
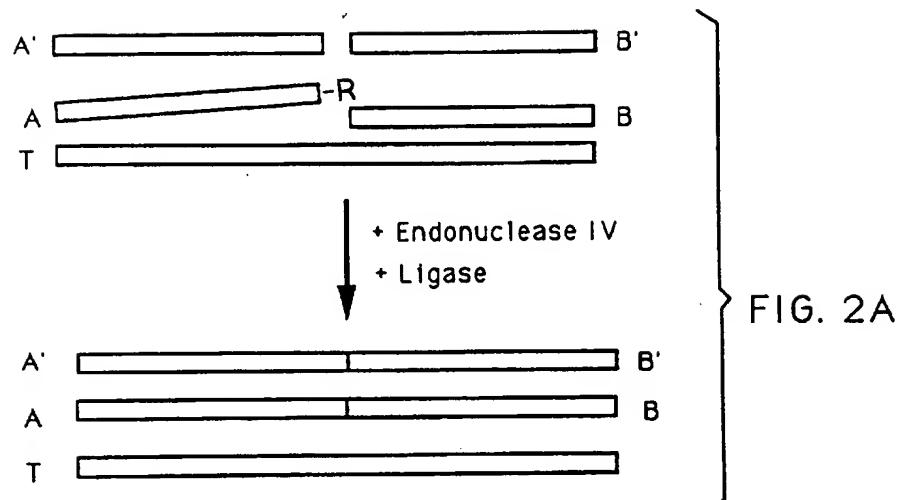


FIG. 3



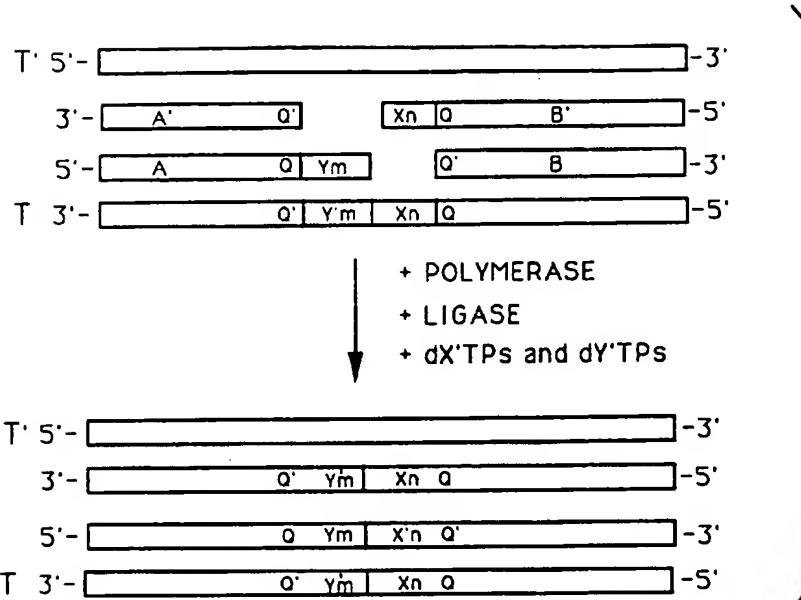


FIG. 4

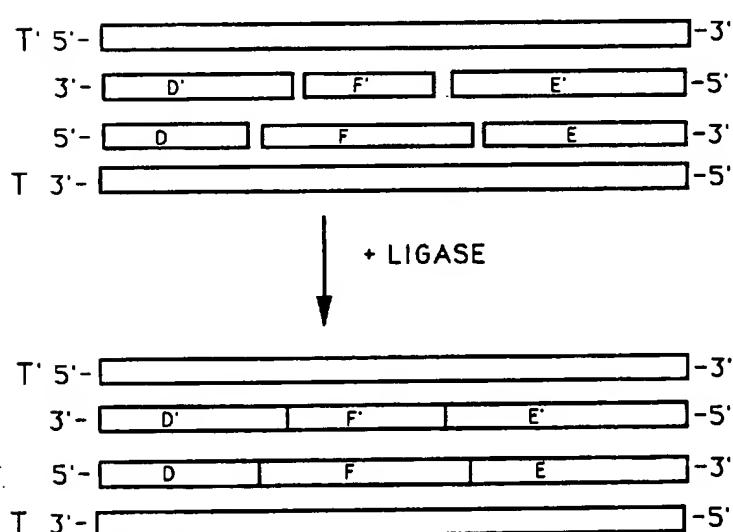


FIG. 5

**THIS PAGE BLANK (USPTO)**